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Prepared by:	ARSHAD IQBAL, Lecturer (CS/IT), ICS/IT - FMCS,
	The University of Agriculture, Peshawar

Week No. 07: Entity Relationship Model

Entity Relationship Model (E-R Model):

An Entity Relationship Model (E-R Model) is a detailed, logical representation of the data for an organization or for business area. An E - R Diagram is the logical design of the databases.

E-R Model is **expressed** in **terms** of **entities** in the business environment, the **relationship** (or association) among those **entities**, and the **attributes** of both the **entities** and their relationship. **ER Model** is used to show the **Conceptual Schema** of an **Organisation**.

Entity Relationship Diagram (ERD) is a Data Modeling Technique used in Databases and Software Engineering to produce a Conceptual Data Model of an information system. So, ERDs illustrate the logical structure of databases.

Constructs/Elements in E-R Data Model:

The major activity is identifying entities, attributes, and their relationships to construct model using the **Entity Relationship Diagram**.

The E-R Data Model supports the following major constructs (concepts)/Elements:

Entity → Table:

- Entity Type
- Entity Instance
- Entity Set

Attribute → Column:

- Attribute Domain

Relationships \rightarrow line:

- Association

Entity:

An entity is a person, place, thing or event for which data is collected and maintained. For example:

- Person: TEACHER, DOCTOR, PLAYER
- Place: COUNTRY, CITY
- Object: VEHJICLE, TOY, FURNITURE
- Event: PURCHASE, ADMISSION, REGISTRATION
- Concept: ACCOUNT, PROGRAMING

Represented by a rectangle, with its name on the top.



Attributes:

The characteristics of an entity are called **Attributes** or **Properties**. For **example:** A Student Entity Type has attributes like Stuent_id, name, address, phone_number and major etc.



Relationship:

A Relationship is a logical connection between different Entity Types. The entities that participate in a Relationship are called participants. Relationship represents an association between two or more entities.

An example of a Relationship would be:

- Employees are assigned to Projects
- Teachers teach the Students
- Projects have Subtasks
- Departments manage one or more Projects

Relationships are the **connections** and **interactions** between the **Entities Instances** e.g., DEPT_EMP associates Department and Employee.

Symbol:



Symbol: For Relationship between Strong Entity Type and weak Entity Type.



Another example: A student in a college has many courses and the student is supposed to complete the courses. This creates a many to many Relationships between STUDENT and COURSE.



Entity Relationship Diagrams (ERDs):

E-R Diagram is a graphical representation of E-R model using a set of standard symbols.

Example 01: Draw an ER Diagram for each of the following situations:

1. A company has a number of employees. Each employee may be assigned to one or more projects or may not be assigned to a project. A project must have at least one employee assigned and may have several employees assigned.



2. A hospital patient has a patient history. Each patient has one or more history records. Each patient history record belongs to exactly one patient.



3. An account can be charged against many projects through it may not be charged against any. A project must have at least one accounts charged against it. It may also have many accounts charged against it.



4. An employee must manage exactly one department. A department may or may not have one employee to manage it.



Example 02: Draw an ER Diagram for each of the following situations:

1. A department employs many persons, means a department employee one or many persons. A person is employed by one department at most, means a person may be employed by one department or he may not be employed at all.



2. A manager manages one department at most, means a manager may manage one department or he manages no department. A department is managed by one manager at most, means a department is managed by one manager or it is not managed by any manager.



3. A team consists of many players, means a team employs at least one player or many players. A player plays for one team, means a player plays for exactly one team.



4. A lecturer teaches one course at most, means a lecturer teaches one course or he does not teach any course. A course is taught by one lecturer, means a course is taught by exactly one lecturer.



5. A purchase order may be for many products, means a purchase order contains one or many products. A product may appear on many purchase orders, means a product may appear in many orders and it may not appear in any order at all.



Example 03: Draw an ER Diagram for the following Scenario:

In a school, a student may be assigned to one or more posts like perfect, monitor or chairman. A post must be assigned to exactly one student. A student is identified with student ID, name, address and date of birth. A post is identified with post ID and name.



Example 04: Draw an ER Diagram for the following Scenario:

In a school, a teacher teaches one or more classes. Each class is taught by one or more teachers. A teacher is identified with teachers ID and name. A class is identified with class code and location.



Example 05: Draw an ER Diagram for the following Scenario:

A company has a number of employees. The attributes of EMPLOYEE include Employee_ID (Identifier), Name, Address and Birthdate. The company also has several projects. The attributes of PROJECT are Project_ID (Identifier), Project_Name and Start_Date. Each employee may be assigned to one or more projects or may not be assigned to a project. A project must have at least one employee assigned and may have any number of employees assigned. An employee billing rate may vary by project and that company wishes to record the applicable billing rate (Billing Rate) for each employee when assigned to a particular project.



Example 06: Draw an ER Diagram for the following Scenario:

A college course may have one or more scheduled sections or may not have a scheduled section. Attributes of COURSE include Course_ID, Course_ Name, and Units. Attributes of SECTION include Section_Number and Semester_ID. Semester_ID is composed of two parts: Semester and Year. Each SECTION has scheduled by exactly one COURSE.

Note: COURSE is a Strong Entity Type and SECTION is a Weak Entity Type.



Example 07: Draw an ER Diagram for the following Scenario:

A university has a large number of courses in a catalog. Attributes of COURSE include Course_Number (identifier), Course_Name and Units. Each course may have one or more courses as prerequisites or may have no prerequisites. Similarly, a particular course may be a prerequisite for any number of courses or may not be prerequisite for any other course.



Example 08: Draw an ER Diagram for the following Scenario:

A laboratory has several chemists who work on one or more projects. Chemists also may use certain kinds of equipment on each project. Attributes of CHEMIST include Employee_ID (identifier), Name and Phone_No. Attributes of PROJECT include Project_ID (identifier), and Start_Date. Attributes of EQUIPMENT include Serial_No and Cost. The organization wishes to record Assign_Date i.e., the date when an equipment item was assigned to a particular chemist working on a specific project. A chemist must be assigned to at least one project and one equipment item. An equipment item need not be assigned either a chemist or a project and also a given project need not be assigned either a chemist or an equipment item.



Example 09: Draw an ER Diagram for the following Scenario:

A hospital has a large number of registered physicians. Attributes of PHYSICIAN include Physician_ID (identifier) and Specialty. Patients are admitted to the hospital by physicians. Attributes of PATIENT include Patient_ID (identifier) and Patient_Name. any admitted patient must have exactly one admitting physician. A physician may admit any number of patients. Once admitted, a given patient must be treated by at least one physician. A particular physician may treat any number of patients or may not treat any patients. Whenever a patient is treated by a physician, the hospital records the details of the treatment (Treatment_Detail). Components of Treatment Detail include Date, Time, and Results.



Week No. 08 & 09: Normalization

Normalization:

Normalization is basically a process of efficiently organizing data in a database. There are **two goals** of the **Normalization** process:

- Eliminate Redundant Data (for example, storing the same data in more than one table) and
- Ensure Data Dependencies (only storing related data in a table).

Both of these are **worthy goals** as they reduce the **amount** of **space** a database consumes and ensure that **data** is **logically** stored.

Normalization is the **process** of **decomposing** unsatisfactory "**bad**" relations by **breaking up** their **attributes** into **smaller** relations.

Normalization is a database design technique which organizes tables in a manner that reduces redundancy and dependency of data. It divides larger tables to smaller tables and links them using relationships.

Steps in Normalization:



Functional Dependency:

Normalization is **based** on the **concept** of **Functional Dependency**. A **Functional Dependency** is a **relationship** between **attributes**. It means that if the **value** of **one attribute** is **known**, it is possible to **obtain** the **value** of **another attribute**. **Suppose** there is a relation **STUDENT** with following fields:

STUDENT (RegistrationNo, StudentName, Class, Email)

If value of **RegistrationNo** is **known**, it is possible to **obtain** the **value** of **StudentName**. It means that **StudentName** is **functionally dependent** on **RegistrationNo**. **Functional Dependency** is written as **follows**:

RegistrationNo — StudentName

The above **expression** is **read** as **"RegistrationNo** determines **StudentName**" or **"StudentName** is **functionally dependent** on **RegistrationNo**". The **attribute** on the **left side** is called **determinant**.

A constraint between two attributes in which the value of one attribute is determined by the value of another attribute. i.e. For any relation R, attribute B is functionally dependent on attribute A, if for every valid instance of A, that value of A uniquely determines the value of B and is represented as A \longrightarrow B.

An attribute may be functionally dependent on a single attribute or a collection of attributes such as:

Std_id, Course_Title ----- Date Completed

Other examples:

SSN — Name, address, DOB VIN — Make, Model, Color ISBN — Title, Author, Publisher

Determinant: An **attribute** in a **relation** that **uniquely** determine the **value** of **another attribute**. The **attribute**(s) on the **left-hand side** of the **arrow** is/are called **Determinant** e.g., in the above example.

Std_id, Course_Title SSN VIN ISBN

Full Functional Dependency: A dependency in which all the non key attributes are fully functionally dependent on the Primary Key.

Partial Dependency: A **Functional Dependency** in which one **or** more **non key attributes** are **functionally dependent** on a **part** (but not all) of the **Primary Key**. For **Partial Dependency**, a **Composite Key** must be **there**. It could be **possible** when there is a **Composite Key**.

Transitive Dependency: A **Functional Dependency** in which **one attribute** functionally determines a **second**, which **functionally** determines a **third**. **Transitive Dependency** occurs when **one non-key attribute** determines **another non-key attribute**.

First Normal Form (1NF):

A relation is in First Normal form if and only if every attribute is single valued for each tuple. This means that each attribute in each row, or each cell of the table, contains only one value. No repeating groups are allowed. A repeating group is a set of one or more data items that may occur a variable number of times in a tuple. The value in each attribute should be atomic and every tuple should be unique. There is no multivalued (repeating groups) in the Relation.

Example No. 01: There is a **Relation** of **Student**.

STD (stId, stName, stAdr, prName, bkId)

¥				
stId	stName	stAdr	prName	bkId
S1020	Sohail Dar	I-8 Islamabad	MCS	B00129
S1038	Shoaib Ali	G-6 Islamabad	BCS	B00327
S1015	Tahina Eina	I Dulth Wah	MCS	B08945,
51015	Tanira Ejaz	L KUKII Wan	IVICS	B06352
S1018	Arif Zia	E-8, Islamabad.	BIT	B08474

Primary Key

Now in this table there is no unique value for every tuple, like for \$1015 there are two values for bookId. So, to bring it in the First Normal form.

	Primary Key						
¥							
stId	stName	stAdr	prName	bkId			
S1020	Sohail Dar	I-8 Islamabad	MCS	B00129			
S1038	Shoaib Ali	G-6, Islamabad	BCS	B00327			
S1015	Tahira Ejaz	L Rukh Wah	MCS	B08945			
S1015	Tahira Ejaz	L Rukh Wah	MCS	B06352			
S1018	Arif Zia	E-8, Islamabad.	BIT	B08474			

Τ.Ζ

Now this table is in First Normal Form and for every tuple there is a unique value.

Example No. 02:

Unnormalized Form (UNF):

A relation containing one or more repeating groups.

\					
Emp_Id	Name	Dept_Name	Salary	Course_Title	Date_Completed
100	Margaret Simpson Marketin	Morkating	Marketing 48,000	SPSS	6/1/1999
100		Marketing		Surveys	10/1/1998
140	Alan Beeton	Accounting	52,000	Tax Acc	12/1/1998
110	Chris Lucano	MCD	12 000	SPSS	1/1/1999
110	Chris Lucero	MSD	43,000	C++	2/1/1999

Primary Key

First Normal Form (1NF):

In First Normal Form, there is no multi-valued attributes i.e., intersection of each row and column contain one and only one value. Every attribute value is atomic (or indivisible).

V				▼	
Emp_Id	Name	Dept_Name	Salary	Course_Title	Date_Completed
100	Margaret Simpson	Marketing	48,000	SPSS	6/1/1999
100	Margaret Simpson	Marketing	48,000	Surveys	10/1/1998
140	Alan Beeton	Accounting	52,000	Tax Acc	12/1/1998
110	Chris Lucero	MSD	43,000	SPSS	1/1/1999
110	Chris Lucero	MSD	43,000	C++	2/1/1999

Primary Key

Figure: First Normal Form (1NF)

Example No. 03:

Unnormalized Form (UNF):

Prim	ary Key							
Accountant Number	Skill Number	Skill Category	Proficiency Number	Accountant Name	Accountant Age	Group Number	Group City	Group Supervisor
21	113	Systems	3	Ali	55	52	ISD	Babar
	113	Systems	5					
35	179	Tax	1	Daud	32	44	LHR	Ghafoor
	204	Audit	6					
50	179	Tax	2	Chohan	40	44	LHR	Ghafoor
77	148	Consulting	6	Zahid	52	52	ISD	Dahar
//	179	Tax	6	Zallid	52	52	ISD	Dabar

Figure: Un-normalize Relation

The above relation is un-normalized because it contains repeating groups of three attributes: Skill Number, Skill Category and Proficiency Number. All these fields contain more than one value. In order to convert this into Normal Form, these repeating groups should be removed.

The following relation is in First Normal Form:

Primary Key

Accountant Number	Skill Number	Skill Category	Proficiency Number	Accountant Name	Accountant Age	Group Number	Group City	Group Supervisor
21	113	Systems	3	Ali	55	52	ISD	Babar
35	113	Systems	5	Daud	32	44	LHR	Ghafoor
35	179	Tax	1	Daud	32	44	LHR	Ghafoor
35	204	Audit	6	Daud	32	44	LHR	Ghafoor
50	179	Tax	2	Chohan	40	44	LHR	Ghafoor
77	148	Consulting	6	Zahid	52	52	ISD	Babar
77	179	Tax	6	Zahid	52	52	ISD	Babar

Figure: First Normal Form (1NF)

Second Normal Form (2NF):

A relation is in Second Normal Form (2NF) if and only if it is in First Normal Form and all the non key attributes are fully functionally dependent on the whole key. It means that none of non-key attributes are related to a part of key. Clearly, if a relation is in 1NF and the key consists of a single attribute, the relation is automatically in 2NF. The concern about 2NF is when the key is composite.

Second Normal Form (2NF) addresses the concept of removing duplicative data. It removes subsets of data that apply to multiple rows of a table and place them in separate tables. It creates relationships between these new tables and their predecessors through the use of Foreign Keys.

Example No. 01: Consider the following Relation.

Accountant Number	Skill Number	Skill Category	Proficiency Number	Accountant Name	Accountant Age	Group Number	Group City	Group Supervisor
21	113	Systems	3	Ali	55	52	ISD	Babar
35	113	Systems	5	Daud	32	44	LHR	Ghafoor
35	179	Tax	1	Daud	32	44	LHR	Ghafoor
35	204	Audit	6	Daud	32	44	LHR	Ghafoor
50	179	Tax	2	Chohan	40	44	LHR	Ghafoor
77	148	Consulting	6	Zahid	52	52	ISD	Babar
77	179	Tax	6	Zahid	52	52	ISD	Babar

Primary Key

Figure:First Normal Form (1NF)

The above relation in 1NF has some attributes which are not depending on the whole primary key. For example, Accountant Name, Accountant Age and group information is determined by Accountant Number and is not dependent on Skill Number. The following relation can be created in which all attributes are fully dependent on Primary Key (Accountant Number).

Primary Key ⊥

Accountant Number	Accountant Name	Accountant Age	Group Number	Group City	Group Supervisor
21	Ali	55	52	ISD	Babar
35	Daud	32	44	LHR	Ghafoor
50	Chohan	40	44	LHR	Ghafoor
77	Zahid	52	52	ISD	Babar

Figure:Accountant Table in 2NF

Similarly, another relation Skill can be created in which all fields are fully dependent on the Primary Key as follows:

Primary Key

•		
Skill Number	Skill Category]
113	Systems	
179	Tax	
204	Audit	
148	Consulting	
Figure:	Skill Table in	2NF

The attribute Proficiency in 1NF relation was fully dependent on the whole Primary Key. The Proficiency requires to know the Accountant Number and Skill Number. The third relation will be created as follows:

Primary Key

Accountant Number	Skill Number	Proficiency Number
21	113	3
35	113	5
35	179	1
35	204	6
50	179	2
77	148	6
77	179	6

Figure: Proficiency Table in 2NF

There are three relations in Second Normal Form (2NF). The attributes of all relations are fully dependent on the Primary Keys.

Example No. 02: Consider the following relation.



Here in Student_Project relation that the Prime Key attributes are Stu_ID and Proj_ID. According to the rule, non-key attributes, i.e., Stu_Name and Proj_Name must be dependent upon both and not on any of the Prime Key attribute individually. But Stu_Name can be identified by Stu_ID and Proj_Name can be identified by Proj_ID independently. This is called Partial Dependency, which is not allowed in Second Normal Form. Decomposed Student Project relation into two separate relations.



Student (Stu_ID, Stu_Name, Proj_ID)

Project (Proj_ID, Proj_Name)

Now these two relations/tables are in Second Normal Form.

Example No. 03:

Relation EMPLOYEE is NOT in 2nd Normal Form (Name, Department Name, and Salary is only dependent on Emp_Id).

t					
Emp_Id	Name	Dept_Name	Salary	Course_Title	Date_Completed
100	Margaret Simpson	Marketing	48,000	SPSS	6/1/1999
100	Margaret Simpson	Marketing	48,000	Surveys	10/1/1998
140	Alan Beeton	Accounting	52,000	Tax Acc	12/1/1998
110	Chris Lucero	MSD	43,000	SPSS	1/1/1999
110	Chris Lucero	MSD	43,000	C++	2/1/1999

Primary Key

Functional Dependencies in EMPLOYEE table:



Therefore, NOT in 2nd Normal Form!!

Decomposed EMPLOYEE relation into two separate relations.



Emp_ID (Emp_Id, Name, Dept_Name, Salary)

Course_Title (Emp_Id, Course_Title, Date_Completed)

Primary Key

Emp_Id	Name	Dept_Name	Salary
100	Margaret Simpson	Marketing	48,000
140	Alan Beeton	Accounting	52,000
110	Chris Lucero	MSD	43,000
F !	E ID T-11	ONE	

Figure: Emp_ID Table in 2NF

Primary Key

	★	
Emp_Id	Course_Title	Date_Completed
100	SPSS	6/1/1999
100	Surveys	10/1/1998
140	Tax Acc	12/1/1998
110	SPSS	1/1/1999
110	C++	2/1/1999
·	·	

Figure: Course_Title Table in 2NF

Now these two relations/tables are in Second Normal Form.

Third Normal Form:

A relational table is in Third Normal Form (3NF) if it is already in 2NF and if no non-key attribute is dependent on another non-key attribute. It means that all non-key attribute is non-transitively dependent upon its Primary Key. There should be no transitive dependency in a relation. In other words, all non-key attributes are functionally dependent only upon the Primary Key.

For Third Normal Form, concentrate on relations with one Candidate Key and eliminate Transitive Dependencies. Transitive Dependency occurs when one non-key attribute determines another non-key attribute.

Example No. 01:

Primary Key

▼					
Accountant Number	Accountant Name	Accountant Age	Group Number	Group City	Group Supervisor
21	Ali	55	52	ISD	Babar
35	Daud	32	44	LHR	Ghafoor
50	Chohan	40	44	LHR	Ghafoor
77	Zahid	52	52	ISD	Babar

Figure: Accountant Table in 2NF

The Accountant Table in 2NF contains some attributes which are depending on non-key attributes. For example, Group City and Group Supervisor are depending on a non-key field Group Number. A new relation can be created as follows:

Primary Key

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Accountant Number	Accountant Name	Accountant Age	Group Number
21	Ali	55	52
35	Daud	32	44
50	Chohan	40	44
77	Zahid	52	52

Figure: Accountant Table in 3NF

The Second Table created from the Accountant Table in 2NF as follows:

Primary Key

•		
Group Number	Group City	Group Supervisor
52	ISD	Babar
44	LHR	Ghafoor
Figure:	Group Table	in 3NF

Both Accountant Table and Group Table contain the attribute Group Number. This attribute is used to join both tables.

The Skill Table and Proficiency Table both in 2NF contains no attribute, which is depending on a non-key attribute. They are already in Third Normal Form and will be used without any further change.

Primary Key

•	
Skill Number	Skill Category
113	Systems
179	Tax
204	Audit
148	Consulting

Figure: Skill Table in 3NF

Primary Key				
Accountant Number	Skill Number	Proficiency Number		
21	113	3		
35	113	5		
35	179	1		
35	204	6		
50	179	2		
77	148	6		
77	179	6		

Figure:Proficiency Table in 3NF

Example No. 02:

STUDENT

<u>stId</u>	stName	stAdr	prName	prCrdts
S1020	Sohail Dar	I-8 Islamabad	MCS	64
S1038	Shoaib Ali	G-6, Islamabad	BCS	132
S1015	Tahira Ejaz	L Rukh Wah	MCS	64
S1018	Arif Zia	E-8, Islamabad	BIT	134

Transitive Dependency:

STD (stId, stName, stAdr, prName, prCrdts)

prName _____ prCrdts

Now here the STUDENT table is in Second Normal Form. As there is no Partial Dependency of any attributes here. The key is student ID. The problem is of Transitive Dependency in which a non-key attribute can be determined by a non-key attribute. Like here the program credits can be determined by program name, which is not in 3NF.

Decomposed STUDENT relation into two separate relations.

STD (stId, stName, stAdr, prName)

PROGRAM (prName, prCrdts)

<u>stId</u>	stName	stAdr	prName
S1020	Sohail Dar	I-8 Islamabad	MCS
S1038	Shoaib Ali	G-6, Islamabad	BCS
S1015	Tahira Ejaz	L Rukh Wah	MCS
S1018	Arif Zia	E-8, Islamabad	BIT

Figure: STD Table in 3NF

<u>prName</u>	prCrdts
MCS	64
BCS	132
MCS	64
BIT	134

Figure: Program Table in 3NF

Now these two relations/tables are in Third Normal Form.

Example No. 03:

Student_Det	ail		
Stu_ID	Stu_Name	City	Zip
			/

In the above Student_detail relation, Stu_ID is the key and only Prime Key attribute. City can be identified by Zip. Zip and City both are non-key attributes. so there exists Transitive Dependency.

Student_Detail (Stu_ID, Stu_Name, City, Zip)

Stu_ID -----> Stu_Name, Zip Zip -----> City

To bring this relation into Third Normal Form, decomposed the relation into two relations as follows: Student_Detail



Student Detail (Stu Name, Zip)

ZipCodes (Zip, City)

Now these two relations/tables are in Third Normal Form.

Example No. 04:

Sales relation is NOT in 3nd Normal Form (Region is depend on Salesperson).

Cust ID	Name	Salesperson	Region
8023	Anderson	Smith	South
9167	Bancroft	Hicks	West
7924	Hobbs	Smith	South
6837	Tucker	Hernandez	East
8596	Eckersley	Hicks	West
7018	Arnold	Faulb	North

Figure: SALES relation



Removing a Transitive Dependency:

Decomposing the SALES relation into the following two relations.

Cust ID	Name	Salesperson
8023	Anderson	Smith
9167	Bancroft	Hicks
7924	Hobbs	Smith
6837	Tucker	Hernandez
8596	Eckersley	Hicks
7018	Arnold	Faulb

Figure: SALES1 relation

<u>Salesperson</u>	Region
Smith	South
Hicks	West
Hernandez	East
Faulb	North

Figure: SPERSON relation



CustID \rightarrow Salesperson

Now, there are no transitive dependencies... Both relations are in 3rd NF

Boyce - Codd Normal Form:

A relation is in Boyce-Codd Normal Form if and only if every determinant is a Candidate Key. It can be checked by identifying all determinants and then making sure that all these determinants are Candidate Keys. BCNF is a stronger form of Third Normal Form. A relation in BCNF is also in Third Normal Form. But a relation in 3NF may not be in BCNF.

Example No. 01:

<u>SID</u>	Major	<u>Advisor</u>	GPA
1	DB	Abid	3.5
2	C++	Arshad sb	3.6

Figure: Student relation in 3rd form.

The above STUDENT relation satisfies 2nd and 3rd form of Normalization because there are **no non-key attributes** that are **dependent** on a **subset** of the **Primary Key** and also there are **no transitive dependencies** but not in BCNF because every determinant is not a Candidate Key.

In order to convert this relation to BCNF, all functional dependencies must be removed which have a determinant that is not a Candidate Key.

The result is as follows:

<u>SID</u>	Advisor	<u>Major</u>	GPA
1	Abid	DB	3.5
2	Arshad sb	C++	3.6

Figure: Student relation in BCNF (Partial Dependency occurs).

But here Partial Dependency occurs between major and advisor.

<u>SID</u>	<u>Major</u>	GPA
1	DB	3.5
2	C++	3.6

Figure: Student relation in BCNF.

<u>Major</u>	Advisor
DB	Abid
C++	Arshad sb

Figure: Major relation in BCNF.

Example No. 02:

Primary Key

		, A	
<u>ProjectID</u>	PartID	Quantity Used	<u>PartName</u>
101	P01	20	CD-R
112	P05	6	Zip disk
194	P01	12	CD-R
194	P02	1	Box floppy disks
194	P05	3	Zip disk

Figure: Project and Parts relation

There are following dependencies:

(ProjectID, PartID) → QtyUsed

PartID ----> PartName

This relation satisfies 2NF because there are no non-key attributes that are dependent on a subset of the Primary Key. PartName is not a non-key attribute, it is part of a Candidate Key. Therefore, this relation is in 2NF.

There are no transitive dependencies so the relation is in 3NF. PartID and PartName are ignored by 3NF rule because they are both Key Attributes.

In order to convert this relation to BCNF, all functional dependencies must be removed which have a determinant that is not a Candidate Key.

The **result** is as **follows**:

Primary Key

ProjectID	<u>PartID</u>	Quantity Used	PartName
101	P01	20	CD-R
112	P05	6	Zip disk
194	P01	12	CD-R
194	P02	1	Box floppy disks
194	P05	3	Zip disk

Figure: Project and Parts relation in BCNF (Partial Dependency occurs).

But here Partial Dependency occurs between PartID and PartName.

Primary Key

▼	
PartID	PartName
P01	CD-R
P02	Box floppy disks
P05	Zip disk

Figure: Parts relation in BCNF

Primary Key

ProjectID	PartID	Quantity Used
101	P01	20
112	P05	6
194	P01	12
194	P02	1
194	P05	3

Figure: Project relation in BCNF

Transforming of ER-Diagram to Relations:

ER Model represents different things as entities. The connections among different entities are represented by relationships. These entities and relationship can be transformed into relational model. This model can used to design the database.

Converting Regular (Strong) Entities into Relations:

The process of converting entities into relations is very simple. In this process, the **name** of **entity** becomes the **name** of **relation** and **attributes** of **entity** becomes the **fields** of **relation**.



Figure: STUDENT Entity

	Student_ID	Name	Address	Phone_No	Major
--	------------	------	---------	----------	-------

Figure: STUDENT Entity

Converting Composite Attributes:

If an entity contains composite attributes, each part of the attribute is represented by a separate field in the relation.

Example:



Figure: EMPLOYEE Entity

|--|

Figure: EMPLOYEE Relation

Multi-valued Attribute:

The attribute is represented in a separate relation with a Foreign Key taken from the Superior Entity if an entity contains Multi-valued Attribute.



Figure: EMPLOYEE Entity with multi-valued attributes

Employee ID Name Birthdate Street City Country
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Figure: EMPLOYEE Relation

Employee_ID	<u>Skills</u>
-------------	---------------

Figure: SKILLS Relation

The second relation SKILLS is using Employee_ID and Skill as Composite Key.

Converting Weak Entities into Relation:

A Weak Entity does not exist independently. It depends on the existence of another entity known as Identifying Owner. When entities are converted into relations, first of all a relation for the identifying owner is created. A separate relation is created for each Weak Entity and attributes of Weak Entity become the fields of the relation. The Weak Entity relation is connected with the Identifying Relation. The Primary Key of Identifying Relation is used as Foreign Key in the Weak Entity relation.



Figure: Weak Entity SECTION

<u>Course_ID</u> Course_Name Units

Section_Number Course_ID Semester Year

Figure: COURSE and SECTION relations

Converting Binary Relationships into Relations:

The process of representing Relationships depends upon two things:

- 1. Degree of Relationship
- 2. Cardinality of Relationship

Binary One-to-One Relationship:

One-to-One Relationship of ER Model is represented in relations by performing the following two steps:

- i. Create a relation for each of the two entity types participating in the relationship.
- ii. Include the Primary Key of the first relation as Foreign Key in the second relation.



Figure: Binary One-to-One Relationship

ChID	Name	Email

Figure: Relations in Binary One-to-One Relationship

Binary One-to-Many Relationship:

One-to-Many relationship of ER Model is represented in relations by performing the following two steps:

- i. Create a relation for each of the two entity types participating in the relationship.
- **ii.** Include the Primary Key of the entity on One-side of the relationship as a Foreign Key in the relation that is on the Many-side of the relationship.
- **Example:**



Figure: Binary One-to-Many Relationship

Stud_ID	Name	Date_Birth	Address

|--|

Figure: Relations in Binary One-to-Many Relationship

Binary Many-to-Many Relationship:

Many-to-Many relationship of ER Model is represented in relations by performing the following two steps:

- i. Create two relations A and B for each of the two entity types participating in the relationship.
- ii. Create another relation C (association relation) that contains Primary Keys of relations A and B as a Foreign Key. These attributes become the Primary of the relation C.

Example:



Figure: Binary Many-to-Many Relationship

Employee_ID N	ame Address	Date_Birth
---------------	-------------	------------

Employee ID	Project ID	Billing Rate

Project ID	Project Name	Start Date
	J	

Figure: Relations in Binary Many-to-Many Relationship

Converting Unary Relationships into Relations:

Unary Relationship exists between the instances of same entity types. It is also known as Recursive Relationship. Unary Many-to-Many relationship of ER Model is represented in relations by performing the following two steps:

- i. Create a relation to represent the entity type.
- ii. Add another field as Foreign Key in the same relation that references the Primary Key of the relation. The Foreign Key must have the same domain as the Primary Key.



Figure: Relation in Unary Many-to-Many Relationship

Converting Ternary Relationships into Relations:

Ternary Relationship exists between the instances of three entity types. Ternary Relationship of ER Model is represented in relations by performing the following two steps:

- i. Create a relation for each entity type participating in the relationship.
- ii. Create an associative relation to represent the link between three entities.

The **Primary Key** of **associative relation** consists of **Primary Keys** of **three entities** in the relationship. The **attributes** of **associative entity type** become **attribute** of the **new relation**.



Figure: Binary Many-to-Many Relationship

Employee_ID	Name	Pho	one		
Ducie et ID St	aut Data				
Project_ID St	art_Date				
Employee_ID	Project	ID	Serial	No	Assign_Date
Serial No Co	ost				

Figure: Relations in Ternary Relationship

Week No. 10 & 11: Concurrency, Recovery and Integrity

Concurrency:

Concurrency is a **situation** in which two **or** more users **access** the **same piece** of **data** at the **same time**. In a **multi-user environment**, **concurrency** occurs very **commonly**. In some **situations**, the **concurrent** access may **arise** to some **serious problems**. The **ability** of a **database system** which handles simultaneously **or** a number of transactions by **interleaving** (inserting/adding) **parts** of the **actions** or the **overlapping**, this is called **Concurrency** of the **system**.

Concurrency Control:

Concurrency Control is **important** because the **simultaneous** execution of **transactions** over a **shared database** can create several **data integrity** and **consistency** problems. **Concurrency Control** is the **process** of **managing** simultaneous operations on the **database** without having them **interfere** with **one another**.

A major objective in developing a database is to enable many users to access shared data concurrently. Concurrent access is relatively easy if all users are only reading data, as there is no way that they can interfere with one another.

However, when two or more users are accessing the database simultaneously and at least one is updating data, there may be interference that can result in inconsistencies. Although two transactions may be perfectly correct in themselves, the interleaving (inserting something between two things) of operations sometimes may produce an incorrect result, thus can create problem in the integrity and consistency of the database.

Concurrency Problems: Different **problems** that may **occur** due to **Concurrency** are as **follows**:

- 1. Lost Updates/Lost Update Problem
- 2. Uncommitted Data/ Uncommitted Dependency (or dirty read) Problem
- 3. Inconsistent Retrievals/ Inconsistent Analysis Problem.

1. Lost Update Problem:

The problem arises when two or more transactions update the same data concurrently. It occurs when two or more transactions select the same row and then update the row based on the value originally selected. Each transaction is unaware of other transactions. The last update overwrites updates made by the previous transactions. It results in loss of data.

Example No. 01: Suppose there is a **stock table** that contains three attributes: **Product Code**, **Description** and **Quantity**. There are **two teams** of staff, **Team A** and **Team B**. **Team A** is **responsible** for **stock-in products** and **Team B** is **responsible** for **stock-out products**.

Time	Team A	Stock Table	Team B
9:00		Qty = 100	
10:30	Retrieve Qty.		Retrieve Qty.
	Qty = 100.		Qty = 100.
10:31			Update $Qty = Qty - 90$
10:32		Qty = 10	
10:33	Update $Qty = Qty + 30$		
10:34		Qty = 130	

Result: Team B's update is lost at 10:33 which is overwritten by Team A's update.

Example No. 02:

The Lost Update Problem occurs when two concurrent transactions, T1 and T2, are updating the same data element and one of the updates is lost (overwritten by the other transaction).

Two concurrent transactions update PROD_QOH:

Transaction	Operation
T1: Purchase 100 units	$PROD_QOH = PROD_QOH + 100$
T2: Sell 30 units	$PROD_QOH = PROD_QOH - 30$

Transaction T1 is executing concurrently with Transaction T2. T1 is withdrawing £10 from an account with balance balx, initially £100, and T2 is depositing £100 into the same account. Transactions T1 and T2 start at nearly the same time, and both read the balance as £100. T2 increases balx by £100 to £200 and stores the update in the database. Meanwhile, transaction T1 decrements its copy of balx by £10 to £90 and stores this value in the database, overwriting the previous update, and thereby 'losing' the £100 previously added to the balance.

Result: T2 update is **lost** which is **overwritten** by **T1 update**.

2. Uncommitted Data/ Uncommitted Dependency (or Dirty Read) Problem:

This problem arises when two or more transactions work on the same table. One transaction retrieves or updates certain part of data before other transaction rollbacks update on the same data. The uncommitted dependency occurs when a second transaction selects a row that is being updated by another transaction. The second transaction is reading data that has not been committed yet and may be changed by the transaction that is updating the row.

Example No. 01:

Suppose the stock table contains a field Quantity with value 100. He following sequence of actions may result in wrong result.

Time	Team A	Stock Table	Team B
t1		Qty = 100	
t2			Update Qty to 150
t3	Retrieve Qty	Qty = 150	
t4		Qty = 100	Rollback

Table: uncommitted Dependency Problem

In the above example, Team B updates the value of Qty to 150 at t2. Team A then retrieves this updated value at t3. Team B rollbacks the action while making Qty to 100 again. So, the value of Qty retrieved by Team A becomes wrong at t4.

Example No. 02:

The phenomenon of uncommitted data occurs when two transactions, T1 and T2, are executed concurrently and the first transaction (T1) is rolled back after the second transaction (T2) has already accessed the uncommitted data, thus violating the isolation property of transactions.

Transaction	Operation
T1: Purchase 100 units	$PROD_QOH = PROD_QOH + 100$ (Rolled back)
T2: Sell 30 units	$PROD_QOH = PROD_QOH - 30$

Example No. 03:

The following Table shows how the Uncommitted Data Problem can arise when the ROLLBACK is completed after T2 has begun its execution.

TIME	TRANSACTION	STEP	STORED VALUE
1	тı	Read PROD_QOH	35
2	тı	PROD_QOH = 35 + 100	
3	тı	Write PROD_QOH	z135
4	T2	Read PROD_QOH (Read uncommitted data)	
5	T2	PROD_QOH = 135 - 30	
6	тı	***** ROLLBACK *****	35
7	T2	Write PROD_QOH	105

The Uncommitted Dependency Problem occurs when one transaction is allowed to see the intermediate results of another transaction before it has committed (performed).

Example No. 04:

Uncommitted Dependency can cause an error, using the same initial value for balance balx as in the previous example. Here, transaction T4 updates balx to £200, but it aborts the transaction so that balx should be restored to its original value of £100. However, by this time transaction T3 has read the new value of balx (£200) and is using this value as the basis of the £10 reduction, giving a new incorrect balance of £190, instead of £90. The value of balx read by T3 is called Dirty Data, giving rise to the alternative name, the Dirty Read Problem.

3. Inconsistent Retrievals/ Inconsistent Analysis Problem:

Inconsistent Retrievals occur when a **transaction** accesses **data** before and after **another transaction**(s) finish working with **such data**. For **example**, an **Inconsistent Retrieval** would occur if **transaction T1** calculated total function over a **set** of **data** while another **transaction** (**T2**) was updating the **same data**. The **problem** is that the **transaction** might **read** some data before they are changed and **other data** after they are changed, thereby yielding **inconsistent results**. The **problem** of **inconsistent analysis** occurs when a **transaction** reads **several values** from the **database** but a **second** transaction updates some of them during the execution of the first.

Example No. 01:

Time	T1	T2
+1	Retrieve Acc-1	
ιı	Sum = Sum + Acc - 01 = 40	-
+2	Retrieve Acc-2	
ιZ	Sum = Sum + Acc - 02 = 90	-
t3	-	Retrieve Acc-3
t4	-	Update $Acc-3 = 0$
t5	-	Retrieve Acc-1
t6	-	Update $Acc-1 = 50$
t7	-	Commit
+9	Retrieve Acc-3	
10	Sum = Sum + Acc - 03 = 90	-
t9	Commit	-

At the time **t9**, the **value** of **sum** is **90**, which is **wrong**.

Example No. 02:

For example, a transaction that is summarizing data in a database (for example, totaling balances) will obtain inaccurate results if, while it is executing, other transactions are updating the database. One example can be, in which a summary transaction T6 is executing concurrently with transaction T5. Transaction T6 is totaling the balances of account x (\pounds 100), account y (\pounds 50), and account z (\pounds 25). However, in the meantime, transaction T5 has transferred \pounds 10 from balx to balz, so that T6 now has the wrong result.

This **problem** is avoided by **preventing** transaction **T6** from reading **balx** and **balz** until after **T5** has completed its updates.

Concurrent Solutions:

The three most common problems in concurrent transaction execution are lost updates, uncommitted data, and inconsistent retrievals. **Concurrency controls** can be used to avoid those problems as by utilizing **locking methods**, they facilitate the isolation of data items used concurrently executed transactions, as **locks** guarantee exclusive use of a data item to a concurrent transaction.

Resource Locking:

One way to prevent concurrency problems is to lock the shard data. Locking ensures that the shared data can be used by one user at one time. When a user accesses the data, the second user has to wait until the first user finishes his work. Suppose there is an item in the database with a value 100. If two users try to access the item to update it, the locking mechanism will work as follows:

User1	User2
1. Lock the item.	1. Lock the item.
2. Retrieve item.	2. Retrieve item.
3. Reduce item by 10.	3. Reduce item by 20.
4. Update the item.	4. Update the item.
5. Unlock the item.	5. Unlock the item.
Sequence	of Processing by CPU
\int 1. Lock the item for u	ser1.
2. Retrieve item for u	ser1.
3. Lock the item for u	ser2. The item is already locked
Transaction of User1 So user2 has to wat	it until user1 unlocks it.
r 4. Reduce item by 10	for user1.
5. Update the item for	r user1.
6. Unlock the item for	r user1. Transaction of User2
7. Lock the item for u	lser2.
8. Retrieve item for u	ser2.
9. Reduce item by 20	for user2.
10. Update the item for	r user2.
11. Unlock the item for	r user2.

Figure: Locking Mechanism

After both transactions are completed, the value of item will be **70**. The locking mechanism ensures that if one transaction is in progress, the second transaction has to wait for the completion of first.

Schedules:

The Schedule is responsible for Concurrency Control. The Schedule would try to prevent conflicts by doing the First Come First Serve (FCFS) in the database. The second way the schedule used to resolve conflict is by facilitating data isolation to make sure that the transactions do not update the same data element.

Two types of Schedules:

i. Serial Schedule:

Serial Execution is an execution where transactions are executed in a Sequential Order, that is, one after another. A transaction may consist of many operations. Serial Execution means that all the operations of one transaction are executed first, followed by all the operations of the next transaction and like that. A Schedule or History is a list of operations from one or more transactions. A Schedule represents the order of execution of operations. The order of operations in a Schedule should be the same as in the transaction. Schedule for a serial execution is called a Serial Schedule, so in a Serial Schedule, all operations of one transactions, there could be different Serial Schedules. For example, if there are two transactions, then there could be two different Serial Schedules as is explained in the table below:

Serial Schedule 1 TA, TB	BAL	Serial Schedule 2 TB, TA	BAL
Read (BAL) _A	50	Read (BAL) _B	50
$(BAL = BAL - 10)_A$	40	$(BAL=BAL * 2)_B$	100
Write (BAL) _A	40	Write (BAL) _B	100
Read (BAL) _B	40	Read (BAL) _A	100
$(BAL=BAL * 2)_B$	80	$(BAL = BAL - 10)_A$	90
Write (BAL) _B	80	Write (BAL) _A	90

Table: Two different Serial Schedules, TA, TB and TB, TA

The table shows two different schedules of two transactions TA and TB. Serial Schedule is a plan to execute transactions serially. The internal sequencing of each transaction is preserved (well maintained). A Serial Schedule ensures that each transaction executes as if it is the only one accessing the database at one time.

ii. Serializable Schedule:

Serializable Schedule is a non-serial schedule in which transaction operations are interleaved while ensuring consistency. An interleaved schedule is said to be serializable if its final state is equivalent to some Serial Schedule. Serializable Schedule can also be defined as a schedule in which conflicting operations are in a Serial Order. The Serializable Schedule ensures the consistency of the database. However, this is worthwhile to mention here again that serializability takes care of the inconsistencies only due to the interleaving of transactions. The serializability concerns generating Serializable Schedules. It has no read and write conflicts which can affect database consistency. It also does not suffer from issues such as lost update, dirty read etc.

Database Failure:

In every **DBMS**, there is a possibility of **hardware** or **software failure**. The **failures** may occur without **warning**. The **data** in the **database** may be **lost** or **damaged** due to the **failure** of **DBMS**. After a **failure** occurs, a **DBMS** should **recover** the **information** that was entered into the **database**. The most **common reasons** of **Failure** are: Failure of computer system, Breakdown of hardware, Program bugs, User mistakes.

Database Failure Classification/Types:

Generally, there are three types of Failures as follows:

- 1. Transaction Failure
- 2. System Failure
- 3. Media Failure/Disk Failure

1. Transaction Failure:

A **transaction** has to **abort** (terminate) when it **fails** to execute or when it reaches a point from where it can't go any further. This is called **Transaction Failure** where only a few transactions or processes are hurt. **Transactions** may **fail** because of incorrect input, deadlock, incorrect synchronization.

Reasons for a Transaction Failure could be:

Logical Errors:

Where a transaction cannot complete because it has some code error or any internal error.

• System Errors:

Where the **database system** itself terminates an **active transaction** because the **DBMS** is not able to execute it, or it has to stop because of some **system condition** (disorder). For **example**, in case of **deadlock** or **resource** unavailability, the **system** aborts an **active transaction**.

2. System Failure:

A System Failure is also known as Instance Failure. It is a failure of the main memory of a computer system. System Failures may be caused by a power failure, an application or operating system crash, memory error or some other reason. The end result is the unexpected termination of the Database Management System (DBMS) software.

3. Media Failure/Disk Failure:

A Media Failure is also known as Instance Failure. It is a failure of the disk storage system of a computer system. In early days of technology evolution, it was a common problem where hard-disk drives or storage drives used to fail frequently. Disk Failures include formation (creation) of bad sectors, unreachability to the disk, disk head crash or any other failure, which destroys all or a part of disk storage.

Database Recovery and Recovery Techniques:

DBMS is a highly complex system with hundreds of transactions being executed every second. If it fails or crashes amid (among) transactions, it is expected that the system would follow some sort of algorithm or techniques to recover the lost data.

Important Terms:

Atomicity: A **transaction** must be an **atomic** unit of work. A transaction must completely succeed or completely fail. If any statement in transaction fails, the entire transaction fails completely.

Consistency: A transaction must leave the data in a consistent state after completion.

Isolation: All **transactions** that modify the **data** are **isolated** from each other. They don't access the **same data** at the **same time**.

Durability: The **durability** means that the **modifications** made by a **transaction** are permanent and persistent (determined). If the **system** is crashed or rebooted, **data** should be guaranteed to be completed when the computer restarts.

Old values: before image (BFIM)

New values: after image (AFIM)

Undo: Restore all BFIMs on to disk (Remove all AFIMs).

Redo: Restore all AFIMs on to disk.

Write ahead logging: BFIM of the data item is recorded in the appropriate log entry and that the log entry is flushed to disk before the BFIM is overwritten with the AFIM in the database on disk.

Force writing: If all data updated by a transaction are immediately written to disk when the transaction commits, it is called a force writing.

Committed transactions: Transactions that have completed before the time of failure.

Active transactions: That have started but not committed at the time of failure.

Immediate Update: As soon as a data item is modified in cache, the disk copy is updated.

Deferred Update: All modified data items in the cache is written either after a transaction ends its execution or after a fixed number of transactions have completed their execution.

System Log/Transaction Log:

The system must keep information about the changes that were applied to data items by the various transactions. This information is typically kept in the System Log. Log is a sequence of records, which maintains the records of actions performed by a transaction. It is important that the logs are written prior (previous) to the actual modification and stored on a stable storage media, which is failsafe.

Log entries are sequential in nature. The Transaction Log is split up into small chunks (portions) called Virtual Log Files. When a Virtual Log File is full, transactions automatically move to the next Virtual Log File.

Log Buffer: Stored at volatile memory (main memory).

Log File: Stored at non-volatile memory (disk).

Types of entries in System Log:

- 1. [start_transaction, T]: Indicates that transaction T has started execution.
- 2. [write_item, T, X, old_value, new_value]: Indicates that transaction T has changed the value of database item X from old_value to new_value.
- **3.** [read_item, T, X]: Indicates that transaction T has read the value of database item X.
- 4. [commit, T]: Indicates that transaction T has completed successfully, and affirms that its effect can be committed (recorded permanently) to the database.
- 5. [abort, T]: Indicates that transaction T has been aborted.

Checkpoint:

A [checkpoint] record is written into the log periodically. At this point, system writes out to the database on disk all DBMS buffers that have been modified. All transactions having [commit, T] entries in the log before a [checkpoint] entry need not to be redone in case of a system crash. Checkpoints are used in conjunction (combination) with transaction logs. Checkpoint is a mechanism where all the previous logs are removed from the system and stored permanently in a storage disk. Checkpoint declares a point before which the DBMS was in consistent state, and all the transactions were committed. A checkpoint is a marker that indicates the last time a database and transaction log were synchronized. If the database must be restored, only after – images for transactions that began after the last checkpoint need to be applied.



System restart will restore **Trans 1** successfully that is committed before **checkpoint**. The **Tran 2** will need to be **redone** using **forward** (rollforward) **recovery** as it started after the **checkpoint** and was **committed** before the **crash**. **Trans 3** also will need to be **redone** using **forward** (rollforward) **recovery** as it was started before the **checkpoint** but **committed** before the **crash**. So, both **Trans 2** and **Trans 3** are part of a **redo list**. **Trans 4** and **Trans 5** will need to be **undone** using **backward** (rollback) **recovery** as **both** were **uncommitted** at the time of the **crash**.

Database Recovery Techniques:

When a system crashes, it may have several transactions being executed and various files opened for them to modify the data items. Transactions are made of various operations, which are atomic in nature.

There are three types of Recovery Techniques:

- Recovery by Transaction Rollback (Undo) and Rollforward (Redo)
- Recovery Based on Deferred Update
- Recovery Techniques Based on Immediate Update

Recovery by Transaction Rollback (Undo) and Rollforward (Redo):

In this strategy, a **transaction log file** is created. All **transactions** that make changes to the **database**, are **recorded** in **transaction log** before they are applied to the **database**. If there is any **failure** in the **system**, the **log file** is used to **reapply** all changes to the **database**.

A database that has been completely corrupted, may require forward recovery from the last backup. This process would apply after images of committed transactions to the backup copy.



Secondly, the method of rollback is applied. In this process, all changes made by different transactions are undone. Then the valid transactions that were in process at the time of failure are restored. Transactions that were incomplete at the time of a failure are identified and the before image is applied to the database.



Both rollforward and rollback require log file. All changes are stored in log file before the changes are applied to the database.

Backward Recovery – undo transactions, apply before images

Start	Transaction Log	End

Forward Recovery - redo transactions, apply after images of committed transactions

Some **Recovery Manager** (RM) use **strategies** which involve the **building** of **undo** and **redo lists**. The **redo list** may only contain the **most recent committed transactions** for a **given record**. The **undo list** may only contain the **earliest transaction** for a **given record**.

Example:	The read	and write	operations of	f three (transactions:

<i>T</i> ₁	T ₂	T ₃
read_item(A)	read_item(B)	read_item(C)
read_item(D)	write_item(B)	write_item(B)
write_item(D)	read_item(D)	read_item(A)
	write_item(D)	write_item(A)

System log at point of crash:

		A	B	C	D
		30	15	40	20
	[start_transaction, T_3]				
	[read_item, T_3 ,C]				
*	[write_item, T3, B, 15, 12]		12		
	[start_transaction, T_2]				
	[read_item,T2,B]				
**	[write_item, T2, B, 12, 18]		18		
	[start_transaction, T_1]				
	[read_item,T1,A]				
	[read_item,T1,D]				
	[write_item, T1, D, 20, 25]				25
1	[read_item,T2,D]				
**	[write_item, T2, D, 25, 26]				26
	[read_item,T3,A]				
		- Sys	stem of	crash	

* T_3 is rolled back because it did not reach its commit point.

** T_2 is rolled back because it reads the value of item *B* written by T_3 .

Operations before the crash:



Recovery Based on Deferred Update:

Also called No-UNDO/REDO approach. The idea is to postpone any actual updates to the database on disk until the transaction reaches its commit point. During transaction execution, the updates are recorded only in the log and force written to disk only after transaction reaches its commit point.

If a **transaction** fails before reaching its **commit point**, there is **no** need to **undo** any **operations** because the **transaction** has not **affected** the **database** on **disk** in any way. It means that after **reboot** from a **failure** the **log** is used to **redo** all the **transactions** affected by this **failure**. **No undo** is required because **no AFIM** is flushed to the **disk** before a **transaction commits**.



System restart will restore **Trans 1** successfully that is **committed** before **checkpoint**. The **Tran 2** will need to be **redone** using **forward** (rollforward) **recovery** as it started after the **checkpoint** and was **committed** before the **crash**. **Trans 3** also will need to be **redone** using **forward** (rollforward) **recovery** as it was started before the **checkpoint** but **committed** before the **crash**. So, **both Trans 2** and **Trans 3** are part of a **redo list**. **Trans 4** and **Trans 5** will no need to be **undone** because **no AFIM** is flushed to the **disk** before a **transaction commits**.

Recovery Techniques Based on Immediate Update:

when a transaction issues an update command, the database on disk can be updated immediately, without waiting for the transaction to commit. It is not mandatory that every update applied immediately to disk. It is possible that some updates are applied to disk before the transaction commits. In this algorithm, AFIMs of a transaction are flushed to the database disk before it commits. For this reason, the Recovery Manager undoes all transactions during recovery. No transaction is redone.

Two Variations:

- UNDO/NO-REDO: If the recovery technique ensures that all updates of a transaction are recorded in the database on disk before the transaction commits, **REDOing** is not required.
- UNDO/REDO: If the transaction is allowed to commit before all its changes are written to the database. Example:



System restart will restore Trans 1 successfully that is committed before checkpoint. The Tran 2 will need to be redone using forward (rollforward) recovery as it started after the checkpoint and was committed before the crash. Trans 3 also will need to be redone using forward (rollforward) recovery as it was started before the checkpoint but committed before the crash. So, both Trans 2 and Trans 3 are part of a redo list. Trans 4 and Trans 5 will need to be undone using backward (rollback) recovery as both were uncommitted at the time of the crash.

Integrity Control System:

Integrity Controls are mechanisms and procedures that are built into a system to safeguard the system and the information within it. Some of the controls—called Integrity Controls—must be integrated into the Application Programs that are being developed and the database that supports them. Integrity controls ensure correct system function by rejecting invalid data inputs, preventing unauthorized data outputs, and protecting data and programs against accidental or malicious tampering (interfering).

The primary objectives of Integrity Controls System are to:

- Ensure that only appropriate and correct business transactions occur.
- Ensure that the transactions are recorded and processed correctly.
- Protect and safeguard the assets of the organization (including hardware, software, and information).

Constraints:

Constraints are restriction on database which ensure that the data is accurate.

Types of Integrity Constraints:

- i. Not Null (Required Data)
- ii. Entity Integrity (Primary Key Constraint)
- iii. Referential Integrity (Foreign Key Constraint)
- iv. Domain Constraints
- v. General Constraints

i. Not Null Constraint:

• Null:

Represents a **value** for an **attribute** that is currently **unknown** or is not applicable for this tuple. However, a **null** is not the **same** as a zero numeric value or a text string filled with spaces, zeros and spaces are values, but a **null** represents the **absence** of a **value**.

• Not Null Constraint:

The value of an attribute cannot be null during the insertion of a record.

ii. Entity Integrity:

This is related to the concept of **Primary Keys**. All tables should have their own **Primary Keys** which should uniquely identify a row and not be NULL. Entity Integrity means, in a (base) relation, no attribute of a **Primary Key** can be null.

By definition, a Primary Key is a minimal identifier that is used to identify tuples uniquely. This means that no subset of the Primary Key is sufficient to provide unique identification of tuples. If a null allows for any part of a Primary Key, we are implying (suggesting) that not all the attributes are needed to distinguish between tuples, which contradicts (denies) the definition of the Primary Key.

iii. Referential Integrity:

This is related to the concept of Foreign Keys. A Foreign Key is a key of a relation that is referred in another relation. If a Foreign Key exists in a relation, either the Foreign Key value must match a Candidate Key value of some tuple in its home relation or the Foreign Key value must be wholly null.

EmployeeID	Name	HouseNo_alloted	HouseNo	Address		
1001	Λli	7	6	Coffee shop		
1001	All	/	7	House no 7, near		
1002	Asghar	Null		Usmania Mosque		
1003	Akbar	11	11	House no 11, near Usmania Mosque		

iv. Domain Integrity - This means that there should be a defined domain for all the columns in a database. Restricting an attribute to its domain values.

A domain is defined as the set of all unique values permitted for an attribute. For example, a domain of date is the set of all possible valid dates, a domain of integer is all possible whole numbers, a domain of day-of-week is Monday to Sunday (7 days).

v. General Constraints:

Additional rules specified by the users or Database Administrators of a database that define or constrain some aspect of the enterprise.

Database Security:

Database Security refers to the process of protects and safeguards the database from unauthorized access or cyber-attacks. There are different types of **Database Security** such as encryption, authentication, backup, application security and physical security which should implement in your business.

Types of Database Security:

The main purpose of **Database Security** is to keep secure sensitive information of a database and maintain the database confidentiality, integrity, and availability. The types of **Database Security** are **key techniques** which are used to provide the **Database Security**.

Database Security is important to protect from cyber-attacks which can lead to financial loss, damage of brand reputation, business continuity and customer confidence.

The main Security types of a database are as follows:

- 1. Authentication
- 2. Authorization
- 3. Database Encryption
- 4. Backup Database
- 5. Physical Security
- 6. Application Security
- 7. Access Control
- 8. Web Application Firewall
- 9. Use Strong Password
- 10. Database Auditing

1. Authentication

Database Authentication is the type of **Database Security** that verify the user's login credentials which stores in database. If user's login credentials match in database, then user can access the database. That means the user has authentication to login into your database.

Authentication can be done at the operating system level or even the database level itself. Digital Signatures are used to verify the authenticity of data i.e., Password based Authentication.

Many other **Authentication** systems such as retina scanners or bio-metrics are used to make sure unauthorized people cannot access the database.

If an authentic user has some privilege to access the data, then he can't access the other data which are out of privilege. No unauthorized or malicious user can't login into your database. So, database authentication plays an important role for ensure **Database Security**.

2. Authorization:

Authorization is a privilege provided by the Database Administer. Users of the database can only view the contents they are authorized to view.

The different permissions for Authorizations available are:

Primary Permission:	This	is	granted	to	users	publicly	and	directly.	Grants	the
	autho	riza	ation ID d	irec	tly.					
Secondary Permission:	This is granted to groups and automatically awarded to a user if he									
	is a member of the group.									
Public Permission:	This is publicly granted to all the users.									
Context Sensitive Permission	on:	T	his is rel elect users	atec s. G	l to ser rants to	nsitive con the truste	ntent d con	and only text role.	granted	to a

3. Database Encryption

Encryption is one of the most effective **types** of **Database Security** which protect your database from unauthorized access during storing and transmission over the **internet**.

There are different types of **encryption algorithm** such as **AES**, **MD5**, **and SHA1** which are used to **encrypt** and **decrypt** the all types of sensitive data.

Typically, an **encryption algorithm** transforms the plain text data into ciphertext of unreadable formats within a database. So, if hackers get access your database, then they can't use your data until the data is decrypt.

It is highly recommended to you that encrypt your sensitive data while storing into database because it provides security and protect from cyber-attacks.

4. Backup Database

Backup is another type of **Database Security** which used to restore data in case of data loss, data corruption, hacking, or natural disasters. It copying or archiving the database in real time on a secondary storage.

If you configured the **Primary** and **Secondary Servers** at same place and if the **Primary Server** is destroyed then there has a chance to destroy the **Secondary Server**. So, you can't run your application and your system will shut down until you recover.

That's why it is suggested that, always configure the **Secondary Server** physically in separate location in order to ensure **Database Security**. In that case, if the **Primary Server** is down then you can recover database from **Secondary Server**.

There are different **types** of **database backup** such as full backup, differential and incremental backup.

5. Physical Security

Physical Database Security is the protection of database server room in order to protect from unauthorized access. **Database Server** should be located in secured and climate-controlled environment in a building.

Only **DBA** (Database Administration) and **Authorized IT** (Information Technology) **Officer** can enter into the **Server Room**. If your **Database Server** is in **cloud data center** then your service provider will take necessary action to secure your database. In that case, before hosting your database in a cloud you can ask them how they will secure your database?

It is also suggested that, if possible then don't host the database server and application on the same server. Both servers should physically isolated for security purposes and performance also. Even you can make a policy for database server room which may include room is locked all times, only authorized IT Officer can check the server room environment etc.

6. Application Security

Application and **Database** have to secure in order to protect from web attacks such as SQL injection. **SQL injection** is the most common web attacks where hacker control application's database to hack sensitive information or destroy the database.

In this **technique**, the **attacker** adds the malicious code in SQL query, via web page input. It is occurring when an application fails to properly sanitize the SQL statements. So, **attacker** can add their own malicious SQL statements to access your database for malicious purposes.

To protect from SQL injection attacks, you can secure application by applying the following prevention methods:

- Use of Prepared Statements
- Use a Web Application Firewall
- Updating system
- Validating user input
- Limiting Privileges

7. Access Control

To ensure of **Database Security** you have to restrict the access of database from unauthorized users. Only **Authorized User** can get access the database and **unauthorized user** can't access the database. **Create** user accounts by **DBA** who will access the database and **set** a role and limit what they can access in your database.

So, Access Control is a type of Database Security which can secure your database by restricting unauthorized users' access.

8. Web Application Firewall

A Web Application Firewall or WAF is an application based cyber security tool which is the **Database Security** best practice. WAF has designed to protect applications by filtering, monitoring and blocking HTTP malicious traffic.

This **Database Security** measure controls who can access the application and prevent intruders from accessing the application via the internet. To secure your application from malicious users you should use a **Web Application Firewall** which will protect your application, database.

One of the following Web Application Firewalls can be used in a system:

- Fortinet FortiWeb
- Citrix NetScaler AppFirewall
- F5 Advanced WAF
- Radware AppWall
- Symantec WAF
- Barracuda WAF
- Imperva WAF

9. Use Strong Password

This is simple but very important tips for ensure **Database Security**. As a **DBA** or **IT Officer** should use **Strong Password** for **database login** and never share your password with others.

If you use easy password such as your mobile no, employee id, date of birth which is known to hackers and they will try to login using these passwords. As a result, you will lose your database control.

So, create a Strong Password for database login using combination of letters, numbers, special characters (minimum 10 characters in total) and change the password regularly. For example: T#\$jk67@89m* can be a Strong Password for your database login.

10. Database Auditing

Auditing is very important types of Database Security Control which can help to detect and identify of unauthorized access to your DBMS (Database Management System).

Database Auditing regularly check the **log files** for who access the **database**, when they accessed, how long time stay there and what they did in database. It can easily find out if there is unauthorized access to database server. **Database Auditing** is a **type** of **database protection** which can provides overall monitoring for **Database Security** of an **organization**.

Week No. 12: Relational Algebra

Relational Algebra:

Relational Algebra is a **Procedural Query Language** that processes one or more relations to define another relation without changing original relations. The **operands** as well as the **result** are **relations**. The output of one operation can become the input of another operation to create nested expression in **Relational Algebra**. This **property** is known as **Closure**.

Basic Operations of Relational Algebra:

There are two categories of Operations in Relational Algebra:

- 1. Unary Operations
- 2. Binary Operations

1. Unary Operations:

The operations which involve only one relation are called Unary Operations. The following operations are the Unary Operations:

- i. Selection Operation
- ii. Projection Operation

i. Selection Operation:

The Selection Operation is a Unary Operation. The Selection Operator is Sigma σ . It acts like a filter on a relation. It returns only a certain number of tuples. It selects the tuples using a condition.

The condition appears as subscript to σ . The resulting relation has the same degree as the original relation. However, the resulting relation may have fewer tuples than the original relation.

The general syntax is: $\sigma_{c}(R)$

 $\sigma_c(R)$ returns only those tuples in R that satisfy condition C. A condition C may consist of any combination of comparison or logical operators that operate on the attributes of R.

- Comparison Operators: $=, <, >, \ge, \le, \ne$
- Logical Operators: \land, \lor, \neg

Examples:

Assume a relation EMP has the following tuples:

Name	Office	Dept	Rank
Saleem	400	CS	Assistant
Junaid	220	Econ	Lecturer
Ghafoor	160	Econ	Assistant
Babar	420	CS	Associate
Saleem	500	Fin	Associate

Question No. 01: Select only those Employees who are in CS department.

 $\sigma_{dept = CS'}(EMP)$

Result:

Name	Office	Dept	Rank
Saleem	400	CS	Assistant
Babar	420	CS	Associate

Question No. 02: Select only those Employees with last name Saleem who are Assistant Professors.

 $\sigma_{\text{Name}=\text{'Saleem'}} \wedge_{\text{Rank}=\text{'Assistant'}}$ (EMP)

Result:

Name	Name Office		Rank		
Saleem	400	CS	Assistant		

Question No. 03: Select only those Employees who are Assistant Professors or in Economics department.

 $\sigma_{\text{Rank} = \text{`Assistant'}} \vee_{\text{Dept} = \text{`Econ'}} (EMP)$

Result:

Name	Name Office		Rank		
Saleem	400	CS	Assistant		
Junaid	220	Econ	Lecturer		
Ghafoor	160	Econ	Assistant		

Question No. 04: Select only those Employees who are not int the CS Department or Lecturer.

 $\sigma \neg (Rank = `Lecturer' \lor Dept = `CS') (EMP)$

Result:

Name	Office	Dept	Rank		
Ghafoor	160	Econ	Assistant		
Saleem	500	Fin	Associate		

ii. Projection Operation:

Projection is also a Unary Operator. Th Projector Operator is pi π . It limits the attributes returned from the original relation. The resulting relation has the same number of tuples as the original relation. The degree of the resulting relation may be equal to or less than the original relation.

The general syntax is: $\pi_{\text{attributes}} R$

Where attributes are the list of attributes to be displayed and R is the relation.

Examples:

Assume a relation EMP has the following tuples:

Name	Office	Dept	Rank		
Saleem	400	CS	Assistant		
Junaid	220	Econ	Lecturer		
Ghafoor	160	Econ	Assistant		
Babar	420	CS	Associate		
Saleem	500	Fin	Associate		

Question No. 01: Display only the names and departments of the employees.

 $\pi_{\text{Name, dept}} \, EMP$

Result:

Name	Dept
Saleem	CS
Junaid	Econ
Ghafoor	Econ
Babar	CS
Saleem	Fin

Combining Selection and Projection:

The Selection and Projection operators can be combined to perform both operations.

Question No. 02: Display the names of all employees working in the CS department.

 $\pi_{\text{Name}}(\sigma_{\text{Dept}='CS'}(EMP))$

Result:

Name
Saleem
Babar

Question No. 03: Show name and rank of those Employees who are not in CS department or Lecturer.

 $\pi_{\text{Name, Rank}}(\sigma \neg_{(\text{Rank} = `\text{Lecturer'}} \lor_{\text{Dept} = `CS')}(\text{EMP}))$

Result:

Name	Rank
Ghafoor	Assistant
Saleem	Associate

2. Binary Operations:

The operations which involve pairs of relations are called Binary Relation Operations. A Binary Operations uses two relations as input and produces a new relation as output.

The following Set Operations are the Binary Operations:

- i. Union
- ii. Set Difference
- iii. Intersection
- iv. Cartesian Product

i. Union:

The Union operation of two relations combines the tuples of both relations to produce a third relational if two relations contain identical tuples, the duplicate tuples are eliminated. The notation for the Union of two relations A and B is A UNION B.

It is donated by: U

If A is defined as $A = \{a, b, c\}$ and set B is defined as $B = \{a, c, 1, 2\}$, then $C = A \cup B$ will return $C = \{a, b, c, 1, 2\}$.

The relations used in the Union operation must have same number of attributes. The corresponding attributes must also come from same domain. Such relations are also called Union compatible relations.

Union are Commutative Operations: A U B = B U A

Example: A U B

Following is an example of Union operation. Two relations A and B are combined together by using Union Operator.

Table A			Т	able]	В		A U B				
	X	Y			X	Y	Z		X	Y	Ζ
	1	A D	10		1	Α	10		1	Α	10
	2	B	20		4	D	40		2	В	20
	3	C	30		5	E	50		3	С	30
	Figures Union Operation						4	D	40		
	rigu			peration					5	E	50

ii. Set Difference:

The Difference operation works on two relations. It produces a third relation that contains the tuples that occur in the first relation but not in second. The difference operation can be performed on Union compatible relations. The order of subtraction is significant.

The Difference operator is not commutative. It means: $A - B \neq B - A$

Example:

Following is an example of Difference operation. There are two relations A and B.

The result of A – B and B – A are as follows:



Figure:The Difference Operation

iii. Intersection:

The Intersection operation works on tow relations. It produces a third relation that only common tuples. Both relations must be Union compatible.

It is denoted by: \cap

Example:

Following is an example of Intersection operation. There are two relations A and B.

The result of A INTERSECTION B is as follows:

Table A				Т	able l	В
Χ	Y	Ζ		V	V	7
1	Δ	10		Λ	I	
1	A	10		1	Λ	10
2	P	20		1	Л	10
	D	20		1	D	40
2	C	20		4		40
3	U	30		5	F	50
			_	5		50

$A \cap B$					
Χ	Y	Ζ			
1	А	10			



iv. Cartesian Product:

The product works on two relations. It concatenates every tuple in one relation with every tuple in second relation. It is also called Cross Product. The Product of relation A with m tuples and relation B with n tuples is relation C with m x n tuples.

The product is denoted as: A X B.

The Product needs not to be Union compatible. It means that they can be of different degree. It is commutative and associative.

Table A				
Χ	Y	Ζ		
1	Α	10		
2	В	20		
3	С	30		

Table B				
Χ	Y	Ζ		
1	A	10		
4	D	40		
5	Е	50		

|--|

А	Х	В

X1	Y1	Z1	X2	Y2	Z2
1	Α	10	1	Α	10
1	Α	10	4	D	40
1	Α	10	5	Е	50
2	В	20	1	Α	10
2	В	20	4	D	40
2	В	20	5	Е	50
3	С	30	1	Α	10
3	С	30	4	D	40
3	С	30	5	Е	50

Week No. 13: SQL using Oracle

Oracle:

Oracle Corporation produces products and services to meet Relational Database Management System needs. The main product is the Oracle Server, which enables the user to store and manage information by using SQL and PL/SQL engine. The Oracle Server supports ANSI standard SQL and contains extensions.

SQL:

SQL stands for Structured Query Language. SQL is a programming language used to communicate with the server to access, manipulate, and control data. SQL is not a full-featured programming language. It is simply a data sublanguage. It means that it only has language statements for database definition and processing (querying and updating).

SQL Commands and Syntax:

SQL is, fundamentally, a **programming language** designed for accessing, modifying and extracting information from **relational databases**. As a **programming language**, **SQL** has **commands** and a **syntax** for issuing those commands.

SQL commands are divided into several different types, including the following:

- 1. Data Definition Language (DDL): Data Definition Language commands (CREATE, ALTER, DROP, RENAME, TRUNCATE) are also called Data Definition Commands because they are used to define data tables.
- 2. Data Manipulation Language (DML): Data Manipulation Language commands (INSERT, UPDATE, DELETE) are used to manipulate data in existing tables by adding, changing or removing data. Unlike DDL commands that define how data is stored, DML commands operate in the tables defined with DDL commands.
- **3.** Data Query Language: Data Query Language consists of just one command, SELECT, used to get specific data from tables. This command is sometimes grouped with the DML commands.
- 4. Data Control Language: Data Control Language commands (GRANT, REVOKE) are used to grant or revoke user access privileges.
- **5. Transaction Control Language:** Transaction Control Language commands (COMMIT, ROLLBACK) are used to change the state of some data, for example, to **COMMIT** transaction changes or to **ROLLBACK** transaction changes.

SQL Syntax:

SQL Syntax, the set of rules for how SQL statements are written and formatted, is similar to other programming languages. SQL syntax include the following:

SQL statements start with a SQL command and end with a semicolon (;).

For example: SELECT * FROM customers;

This **SELECT statement** extracts **all** of the **contents** of a **table** called **customers**.

SQL was developed by **IBM**. It is endorsed as a national standard by American National Standards Institute (**ANSI**). The most widely implemented version of **SQL** is **ANSI SQL-92** standard.

SQL works with database programs like MS Access, DB2, Informix, MS SQL Server, Oracle etc.

Features of SQL:

The following are some features of SQL:

- 1. SQL is an English- like language. It uses words like SELECT, INSERT, DELETE etc.
- 2. SQL is a non-procedural language. The user specifies what to do, not how to do. SQL does not require to specify the access method to data.
- 3. SQL commands are not case sensitive.
- 4. SQL statements can be entered on one or more lines.
- 5. Keywords cannot be split across lines or abbreviated.
- 6. SQL processes sets of records rather than a single record at a time. The most common form of a set of records is table.
- 7. SQL can be used by a range of users like DBA, Application Programmer, Management Personnel and many other types of End Users.
- 8. SQL provides commands for a variety of tasks including:
 - Querying data.
 - Inserting, Updating, Deleting rows in a table.
 - Creating, Modifying, and deleting database objects.
 - Controlling access to the database and database objects.

Basic SQL Statements:

The basic **SQL statements** are as **follows**:

SELECT Statement with FROM Clause:

SELECT statement is used to select data from a table. It displays result in tabular form.

General Syntax:

SELECT column_name (s) FROM table_name;

The following three Relations (EMP, DEPT, SALGRADE) will be used for SQL statements:

EMPNO	ENAME	JOB	MGR	HIREDATE	SAL	COMM	DEPTNO
7369	SMITH	CLERK	7902	17-DEC-80	800		20
7499	ALLEN	SALESMAN	7698	20-FEB-81	1600	300	30
7521	WARD	SALESMAN	7698	22-FEB-81	1250	500	30
7566	JONES	MANAGER	7839	02-APR-81	2975		20
7654	MARTIN	SALESMAN	7698	28-SEP-81	1250	1400	30
7698	BLAKE	MANAGER	7839	01-MAY-81	2850		30
7782	CLARK	MANAGER	7839	09-JUN-81	2450		10
7788	SCOTT	ANALYST	7566	19-APR-87	3000		20
7839	KING	PRESIDENT		17-NOV-81	5000		10
7844	TURNER	SALESMAN	7698	08-SEP-81	1500	0	30
7876	ADANS	CLERK	7788	23-MAY-87	1100		20
7900	JANES	CLERK	7698	03-DEC-81	950		30
7902	FORD	ANALYST	7766	03-DEC-81	3000		20
7934	MILLER	CLERK	7782	23-JAN-82	1300		10

Table: EMP

Table: DEPT

DEPTNO	DNAME	LOC
10	ACCOUNTING	NEW YORK
20	RESEARCH	DALLAS
30	SALES	CHICAGO
40	OPERATIONS	BOSTON

Table: SALGRADE

GRADE	LOSAL	HISAL
1	700	1200
2	1201	1400
3	1401	2000
4	2001	3000
5	3001	9999

Examples:

SELECT One Column:

Write a query that display EMPNO from EMP table.

SELECT EMPNO FROM EMP;

EMPNO
7369
7499
7521
7566
7654
7698
7782
7788
7839
7844
7876
7900
7902
7934

SELECT Multiple Columns:

Write a query that displays the columns EMPNO and ENAME from EMP table.

SELECT EMPNO, ENAME, JOB FROM EMP;

Result:

EMPNO	ENAME	JOB
7369	SMITH	CLERK
7499	ALLEN	SALESMAN
7521	WARD	SALESMAN
7566	JONES	MANAGER
7654	MARTIN	SALESMAN
7698	BLAKE	MANAGER
7782	CLARK	MANAGER
7788	SCOTT	ANALYST
7839	KING	PRESIDENT
7844	TURNER	SALESMAN
7876	ADANS	CLERK
7900	JANES	CLERK
7902	FORD	ANALYST
7934	MILLER	CLERK

SELECT All Columns:

Write a query that displays all columns from EMP table.

SELECT * FROM EMP;

The * symbol is used instead of column names to display all columns of a table.

EMPNO	ENAME	JOB	MGR	HIREDATE	SAL	COMM	DEPTNO
7369	SMITH	CLERK	7902	17-DEC-80	800		20
7499	ALLEN	SALESMAN	7698	20-FEB-81	1600	300	30
7521	WARD	SALESMAN	7698	22-FEB-81	1250	500	30
7566	JONES	MANAGER	7839	02-APR-81	2975		20
7654	MARTIN	SALESMAN	7698	28-SEP-81	1250	1400	30
7698	BLAKE	MANAGER	7839	01-MAY-81	2850		30
7782	CLARK	MANAGER	7839	09-JUN-81	2450		10
7788	SCOTT	ANALYST	7566	19-APR-87	3000		20
7839	KING	PRESIDENT		17-NOV-81	5000		10
7844	TURNER	SALESMAN	7698	08-SEP-81	1500	0	30
7876	ADANS	CLERK	7788	23-MAY-87	1100		20
7900	JANES	CLERK	7698	03-DEC-81	950		30
7902	FORD	ANALYST	7766	03-DEC-81	3000		20
7934	MILLER	CLERK	7782	23-JAN-82	1300		10

SELECT DISTINCT Statement:

DISTINCT keyword is used to **eliminate** duplicate rows from the **result** of a **SELECT** statement. If **DISTINCT** is not used, **all rows** are **returned** including **duplicates**.

DISTINCT One Column:

Write a query to displays all distinct DEPTNO from EMP table.

SELECT DISTINCT DEPTNO FROM EMP;

Result:

DEPNO

10

20 30

DISTINCT Multiple Columns:

Multiple Columns may be used with DISTINCT.

Write a query that displays distinct DEPTNO and JOB from EMP table.

SELECT DISTINCT DEPTNO, JOB FROM EMP;

DEPNO	JOB
10	
10	ULEKK
10	MANAGER
10	PRESIDENT
20	ANALYST
20	CLERK
20	MANAGER
30	CLERK
30	MANAGER
30	SALESMAN

SELECT Statement with WHERE Clause:

WHERE Clause is used to retrieve data from a table conditionally. It can appear only after FROM clause.

General Syntax:

SELECT Column(s) FROM Table WHERE Condition;

Example:

Write a query that displays records of clerks from EMP table.

SELECT * FROM EMP WHERE JOB = 'CLERK';

Result:

EMPNO	ENAME	JOB	MGR	HIREDATE	SAL	COMM	DEPTNO
7369	SMITH	CLERK	7902	17-DEC-80	800		20
7876	ADANS	CLERK	7788	23-MAY-87	1100		20
7900	JANES	CLERK	7698	03-DEC-81	950		30
7934	MILLER	CLERK	7782	23-JAN-82	1300		10

Using Quotes:

SQL uses single quotes around text values. Most database systems also accept double quotes. Numeric values should not be enclosed in quotes.

SELECT Statement with ORDER BY Clause:

The **ORDER BY Clause** is used to **sort** the **rows**. The **process** of **arranging** data **or** records in a **sequence** is called **Sorting**. A **sort** can be **Ascending** or **Descending**.

SQL uses ASC keyword to specify Ascending Sort and DESC keyword for Descending Sort. If neither is specified, ASC is used as default. ORDER BY must always be the last Clause in SELECT statement. If the records contain date values, earliest date will appear first. If the records contain character values; it will be sorted Alphabetically.

Example No. 01:

Write a query that displays EMP table in alphabetical order with respect to name.

SELECT * FROM EMP ORDER BY ENAME;

Result:

EMPNO	ENAME	JOB	MGR	HIREDATE	SAL	COMM	DEPTNO
7876	ADANS	CLERK	7788	23-MAY-87	1100		20
7499	ALLEN	SALESMAN	7698	20-FEB-81	1600	300	30
7698	BLAKE	MANAGER	7839	01-MAY-81	2850		30
7782	CLARK	MANAGER	7839	09-JUN-81	2450		10
7902	FORD	ANALYST	7766	03-DEC-81	3000		20
7900	JANES	CLERK	7698	03-DEC-81	950		30
7566	JONES	MANAGER	7839	02-APR-81	2975		20
7839	KING	PRESIDENT		17-NOV-81	5000		10
7654	MARTIN	SALESMAN	7698	28-SEP-81	1250	1400	30
7934	MILLER	CLERK	7782	23-JAN-82	1300		10
7788	SCOTT	ANALYST	7566	19-APR-87	3000		20
7369	SMITH	CLERK	7902	17-DEC-80	800		20
7844	TURNER	SALESMAN	7698	08-SEP-81	1500	0	30
7521	WARD	SALESMAN	7698	22-FEB-81	1250	500	30

Example No. 02:

Write a query that displays ENAME, JOB, and SAL columns of EMP table in descending order by SAL.

SELECTENAME, JOB, SALFROMEMPORDER BYSAL DESC;

The column after ORDER BY clause is not required to appear in SELECT clause also.

Result:

ENAME	JOB	SAL
KING	PRESIDENT	5000
SCOTT	ANALYST	3000
FORD	ANALYST	3000
JONES	MANAGER	2975
BLAKE	MANAGER	2850
CLARK	MANAGER	2450
ALLEN	SALESMAN	1600
TURNER	SALESMAN	1500
MILLER	CLERK	1300
WARD	SALESMAN	1250
MARTIN	SALESMAN	1250
ADANS	CLERK	1100
JANES	CLERK	950
SMITH	CLERK	800

ORDER BY Many Columns:

The ORDER BY clause can also be used with multiple columns.

Example No. 03:

Write a query that displays name and salary of all employees from EMP table. Result should be sorted in ascending order by DEPTNO and then in descending order by SAL.

SELECTENAME, SALFROMEMPORDER BYDEPTNO, SAL DESC;

Result:

ENAME	SAL
KING	5000
CLARK	2450
MILLER	1300
SCOTT	3000
FORD	3000
JONES	2975
ADANS	1100
SMITH	800
BLAKE	2850
ALLEN	1600
TURNER	1500
WARD	1250
MARTIN	1250
JANES	950

Week No. 14: Built in Functions

Functions:

Functions are used to manipulate data item. They accept one or more arguments and return one value. An argument is user-supplied constant, variable or column reference. The format for a function is as follows:

Function name (argument1, argument2.....)

Aggregate Functions:

Aggregate functions generate summary value. They can be applied to all the rows in a table or the rows specified by WHERE clause. Aggregate functions generate a single value from each set of rows. Aggregate functions such as COUNT, AVG, SUM, MAX, MIN generate a summary value.

i. COUNT Function:

Count function is used to count the number of rows.

Syntax:

COUNT (*/Column_name/DISTINCT Column_name)

COUNT (*):

The COUNT (*) is used to count all the rows including rows containing duplicates and null values.

Example No. 01:

Write a query that displays total columns in EMP table.

SELECT	COUNT (*)
FROM	EMP
WHERE	DEPTNO = 20;

Result:

COUNT (*)

The above example counts all the Employees in department 20 of EMP table including rows containing duplicate and null values.

COUNT (Column_name):

The COUNT (Column_name) is used to count the values in the column specified excluding any null values.

Example No. 02:

Write a query that displays total records in COMM column of EMP table.

SELECT COUNT (COMM) FROM EMP;

COUNT (COMM)

4

The above example counts the values in COMM excluding null values.

COUNT (DISTINCT Column_name):

The COUNT (Distinct Column_name) is used to count all the rows excluding rows containing duplicate and null values.

Example No. 03:

SELECT COUNT (DISTINCT MGR) FROM EMP;

Result:

COUNT (DISTINCT Mgr)

6

The above example counts the value in MGR Column after eliminating duplicates and null values.

ii. AVG Function:

The AVG Function returns the average of all values of expression. AVG Function can be used with numeric column only and will automatically ignore the null values.

Syntax:

AVG (ALL/DISTINCT/Expression)

AVG (ALL):

It is the default and is applied to all values.

AVG (DISTINCT):

It indicates that AVG is performed only on each unique instance of a value.

AVG (Expression):

It is any valid expression like column name.

Example No. 04:

Write a query that calculates the average salary of all employees.

SELECT	AVG (SAL)
FROM	EMP;

Result:

AVG (SAL) ------2073.2143

iii. SUM Function:

The SUM Function returns the sum of all values in an expression. It supports the use of DISTINCT to summarize only unique value in the expression. Null values are ignored. It can be used only with numeric columns.

Syntax:

SUM (ALL/DISTINCT)

Example No. 05:

Write a query that displays the sum of salaries of all clerks in EMP tanle.

SELECT	SUM (SAL)
FROM	EMP
WHERE	JOB = 'CLERK';

Result:

SUM (SAL) ------4150

iv. MAX Function:

The MAX Function returns the maximum value in an expression. It ignores all null values. It can be used with all datatypes.

Syntax:

MAX (ALL/DISTINCT/Expression

Example No. 06:

Write a query that finds the maximum salary earned by clerk.

SELECT	MAX (SAL)
FROM	EMP
WHERE	JOB = 'CLERK';

Result:

MAX (SAL) 1300

v. MIN Function:

The MIN Function returns the minimum value in an expression. It ignores all null values. It can be used with all datatypes.

Syntax:

MIN (ALL/DISTINCT/Expression)

Example No. 07:

Write a query that finds the minimum salary earned by clerk.

SELECT	MIN (SAL)
FROM	EMP
WHERE	JOB = 'CLERK';

Result:

Example No. 08:

Write a query that finds minimum, maximum and average salaries of all employees.

SELECT	MAX (SAL), MIN (SAL), AVG (SAL)
FROM	EMP;

Result:

MAX (SAL)	MIN (SAL)	AVG (SAL)
5000	800	2073.2143

Week No. 15: GROUP BY clause and Joining

GROUP BY:

The GROUP BY clause can be used to divide the rows in a table into smaller group. If aggregate function is used in SELECT statement, GROUP BY clause produces a single value per aggregate.

Syntax:

GROUP BY Column name

Example No. 01:

Write a query that calculates the average salary for each different job.

SELECT	AVG (SAL)
FROM	EMP
GROUP BY	JOB;

Result:

AVG (SAL)
3000
1037.5
2758.3333
5000
1400

Example No. 02:

Write a query that finds the minimum, maximum salary for each job type.

SELECTJOB, MAX (SAL), MIN (SAL)FROMEMPGROUP BYJOB;

Result:

JOB	MAX (SAL)	MIN (SAL)
ANALYST	3000	3000
CLERK	1300	800
MANAGER	2975	2450
PRESIDENT	5000	5000
SALESMAN	1600	1250

Excluding Rows in GROUP BY Clause:

Some rows may be pre-excluded in WHERE clause before dividing them into groups.

Example No. 03:

Write a query that displays the average salary for each Job excluding managers.

SELECT JOB, AVG (SAL) FROM EMP WHERE JOB! = 'MANAGER' GROUP BY JOB;

Result:

JOB	AVG (SAL)
ANALYST	3000
CLERK	1037.5
PRESIDENT	5000
SALSMAN	1400

GROUPs within GROUPs:

It is also possible to use GROUP BY clause to provide results for groups within groups.

Example No. 04:

Write a query that displays average monthly salary for each job type in department.

SELECTDEPTNO, JOB, AVG (SAL)FROMEMPGROUP BYDEPTNO, JOB;

Result:

DEPTNO	JOB	AVG (SAL)
10	CLERK	1300
10	MANAGER	2450
10	PRESIDENT	5000
20	ANALYST	3000
20	CLERK	950
20	MANAGER	2975
30	CLERK	950
30	MANAGER	2850
30	SALESMAN	1400

Joining:

Joining is used to combine the rows from multiple tables. A Join creates a temporary table with all retrieved columns from the tables specified in the Join.

Example:

SELECT * FROM A, B;

In the above examples, A and B are two tables. It will create a temporary table with all rows from Cartesian product of table A and B. If table A contains 5 rows and table B contains 10 rows, the above statement will retrieve $5 \times 10 = 50$ rows. It may return duplicate or matching rows. A Join requires horizontal filtering to display desired rows.

The SELECT statement can be written as follows:

SELECT * FROM A, B WHERE X = Y;

Here, X and Y are two columns from table A and B. Table A and B must be joined with a common column between them. The tables must have at least one matching column in order to be joined. A join between two tables should have at least one join condition between them.

Types of Joining:

There are basically three different types of Joins:

- 1. Simple Join
- 2. Self-Join
- 3. Outer Join

1. Simple Join:

Simple Join is the most common type of Join. It retrieves rows from two tables. These tables should have a common column or set of columns that can be logically related. It is further classified into Equi-Join and Non-Equi-Join.

Equi-Join:

A type of Join that is based on equalities is called Equi-Join.

The syntax of Equi-Join is as follows:

SELECT	table1.column, table2.column
FROM	table1, table2
WHERE	table1.column = table2.colmumn2;

The condition in WHERE clause specifies how the tables are joined.

Example:

Write a query that displays EMPNO, ENAME, DEPTNO, DNAME, LOC from EMP and DEPT tables.

SELECTEMP.EMPNO, EMP.ENAME, EMP.DEPTNO, DEPT.DNAME, DEPT.LOCFROMEMP, DEPTWHEREEMP.DEPTNO = DEPT.DEPTNO;

EMPNO	ENAME	DEPTNO	DNAME	LOC
7369	SMITH	20	RESEARCH	DALLAS
7499	ALLEN	30	SALES	CHICAGO
7521	WARD	30	SALES	CHICAGO
7566	JONES	20	RESEARCH	DALLAS
7654	MARTIN	30	SALES	CHICAGO
7698	BLAKE	30	SALES	CHICAGO
7782	CLARK	10	ACCOUNTING	NEW YORK
7788	SCOTT	20	RESEARCH	DALLAS
7839	KING	10	ACCOUNTING	NEW YORK
7844	TURNER	30	SALES	CHICAGO
7876	ADANS	20	RESEARCH	DALLAS
7900	JANES	30	SALES	CHICGO
7902	FORD	20	RESEARCH	DALLAS
7934	MILLER	10	ACCOUNTING	NEW YORK

In the above example, the statement EMP.DEPTNO = DEPT.DEPTNO performs the join operation. It retrieves rows from both tables if both have the same DEPTNO as specified in the WHERE clause. The WHERE clause used = operator to perform a join, it is an Equi-join.

The column names are prefixed by table names because both tables have same column name DEPTNO. The tables names distinguish between them. If column names are unique, it is not necessary tables names.

Non-Equi-Join:

A non equi-join specifies the relationship between columns of different tables by using relational (>, <, =, >=, <=, <>) other than =. The following example is illustrating non-equi join.

Example:

Write a query that displays ENAME, SAL, and GRADE from EMP table and GRADE from SALGRAGE table of those employee whose SAL of EMP table is between LOSAL and HISAL of SALGRADE table.

SELECT	EMP.ENAME, EMP.SAL, SALGRADE.LOSAL AND SALGRADE.HISAL;
FROM	EMP, SALGRADE
WHERE	EMP.SAL BETWEEN SALGRADE.LOSAL AND SALGRADE.HISAL;

SAL	GRADE
800	1
1100	1
950	1
1250	2
1250	2
1300	2
1600	3
1500	3
2975	4
2850	4
2450	4
3000	4
3000	4
5000	5
	SAL 800 1100 950 1250 1250 1300 1600 1500 2975 2850 2450 3000 3000 5000

2. Self-Join:

Self-Join is a type of join in which a table is joined with itself.

Example:

Write a query that displays EMPNO, ENAME, of employee along with their MGR.

SELECT	E.EMPNO, E.ENAME, E.MGR, M.ENAME, MANAGER
FROM	EMP E, EMP M
WHERE	E.MGR = M. EMPNO;

Result:

EMPNO	ENAME	MGR	MANAGER
7369	SMITH	7902	FORD
7499	ALLEN	7698	BLAKE
7521	WARD	7698	BLAKE
7566	JONES	7839	KING
7654	MARTIN	7698	BLAKE
7698	BLAKE	7839	KING
7782	CLARK	7839	KING
7788	SCOTT	7566	JONES
7844	TURNER	7698	BLAKE
7876	ADAMS	7788	SCOTT
7988	JAMES	7698	BLAKE
7902	FORD	7566	JONES
7934	MILLER	7782	CLARK

3. Outer Join:

The outer join extends the result of a simple join. It returns all rows returned by simple join as well as those rows from one table that don't match any row from other table. The symbol (+) represents outer join.

Example:

SELECT	EMP.EMPNO, EMP.ENAME, EMP.DEPTNO, DEPT.DNAME, DEPT.LOC
FROM	EMP, DEPT
WHERE	EMP. DEPTNO $(+)$ = DEPT.DEPTNO;

Result:

EMPNO	ENAME	DEPTNO	DNAME	LOC
7782	CLARK	10	ACCOUNTING	NEW YORK
7839	KING	10	ACCOUNTING	NEW YORK
7934	MILLER	10	ACCOUNTING	NEW YORK
7369	SMITH	20	RESEARCH	DALLAS
7876	ADAMS	20	RESEARCH	DALLAS
7902	FORD	20	RESEARCH	DALLAS
7788	SCOTT	20	RESEARCH	DALLAS
7566	JONES	20	RESEARCH	DALLAS
7499	ALLEN	30	SALES	CHICAGO
7698	BLAKE	30	SALES	CHICAGO
7654	MARTIN	30	SALES	CHICAGO
7900	JAMES	30	SALES	CHICAGO
7844	TURNER	30	SALES	CHICAGO
7521	WARD	30	SALES	CHICAGO
			OPERATIONS	BOSTON

The above example retrieves rows form DEPT table that do not have any matching records in EMP table because of the presence of an outer join (+) operator. Outer Join symbol (+) is used after EMP.DEPTNO in WHERE clause. It is always placed on the side which has the data deficiency.

Week No. 16: Data Definition Language and Data Manipulation Language

Data Definition language (DDL) in DBMS:

Data Definition Language can be defined as a standard for commands through which data structures are defined. It is a computer language that is used for creating and modifying structure of the database objects, such as schemas, tables, views, indexes, etc. Additionally, it assists in storing metadata details in the database.

Some of the common Data Definition Language commands are:

- 1. CREATE
- 2. ALTER
- 3. DROP
- 4. TRUNCATE

1. CREATE:

The CREATE TABLE statement is used to create a new table. Its syntax is as follows:

CREATE TABLE table_name

(Column1 datatype, Column2 datatype, Column3 datatype, ColumnN datatype);

The Data Type specifies the type of data to be stored in the column. SQL provides the following common Data Types:

Data Type	Description
Char (size)	It is a fixed length character string. The size is specified in parenthesis.
	The maximum size is 255 bytes.
Varchar (size)	It is a variable length character string. The size is specified in parenthesis.
Number (size)	It is a number value. The maximum number of column digits is specified in
	parenthesis.
Date	It is the date value.
Number (size, d)	It is number value. The size indicates the maximum number of digits and d
	indicates the maximum number decimal points.

Example:

BLE EMP
NUMBER (4),
VARCHAR2 (30),
VARCHAR2 (15),
NUMBER (4),
NUMBER (7, 2),
NUMBER (7, 2),
NUMBER (4));

2. ALTER:

The ALTER TABLE statement is used to add or drop columns in an existing table. It is also used to change the data type of an existing column of the table.

The General syntaxes of the ALTER command is mentioned below:

ADDING a New Column:

The syntax for adding a new column to an existing table is as follows:

ALTER TABLE table_name ADD column name datatype;

Example:

Write a query to add a column CITY in EMP table.

ALTER TABLE EMP ADD CITY VARCHAR (30);

RENAMING a Table: The syntax to rename an existing table name is as follows:

ALTER TABLE table_name RENAME To new table name;

Example: Write a query to rename the existing table name (EMP) to EMPLOYEE.

ALTER TABLE EMP RENAME To EMPLOYEE;

MODIFYING a Column:

The syntax to modify the data type of an existing column of the table is as follows:

ALTER TABLE table_name MODIFY column name data type;

Example:

Write a query to modify the data type of CITY column to CHAR in EMP table.

ALTER TABLE EMP MODIFY (CITY CHAR (25));

DELETING a Column: The syntax to delete an existing column from the table is as follows:

ALTER TABLE table_name DROP COLUMN column name;

Example: Write a query to drop ENAME column in EMP table.

ALTER TABLE EMP DROP COLUMN ENAME;

3. DROP:

The DROP statement is used to delete a table along with table structure, attributes and indexes.

The General syntax of Drop command is mentioned below:

DROP TABLE table_name;

Example:

Write a query to delete EMP table.

DROP TABLE EMP;

4. TRUNCATE:

By using Truncate command, users can remove table content, but structure of the table is kept. In simple language, it removes all the records from the table structure. Users can't remove data partially through this command. In addition to this, every space allocated for the data is removed by Truncate command.

The syntax of the Truncate command is mentioned below:

TRUNCATE TABLE table_name;

Example:

Write a query to delete all data in EMP table.

TRUNCATE TABLE EMP;

Data Manipulation Language (DML) in DBMS:

Data manipulation language (DML) provides operations that handle user requests, offering a way to access and manipulate the data that users store within a database. Its common functions include inserting, updating and retrieving data from the database.

Here is a list of DML statements:

- 1. INSERT
- 2. UPDATE
- 3. DELETE

1. INSERT: The INSERT INTO statement is used to insert new rows in a table.

The syntax of this statement is as follows:

INSERT INTO table_name VALUES (value1, value2,.....);

Example:

INSERT INTO DEPT VALUES (50, 'EDUCATION', 'LAHORE');

The column names can also be specified for which data is to be inserted:

INSERT INTO table_name (column1, column2,.....) VALUES (value1, value2,.....);

Example:

INSERT INTO DEPT (DEPTNO, DNAME) VALUES (60, 'MIS');

2. UPDATE:

The UPDATE statement is used to modify the existing data in a table.

The syntax of using this statement is as follows:

UPDATE table_name SET column_name = new_value WHERE column_name = some_value;

UPDATE One Column in a Row:

The following statement will modify DEPTNO of SMITH:

UPDATE EMP SET DEPTNO = 70 WHERE ENAME = 'SMITH';

UPDATE Several Columns in a Row: The following statement will modify JOB and ENAME of SMITH:

UPDATE EMP SET JOB = 'SALESMAN', ENAME = 'CLERK' WHERE ENAME = 'SMITH';

3. DELETE:

The DELETE statement is used to delete rows in a table.

The syntax of this statement is as follows:

DELETE FROM table_name WHERE column name = some value;

DELETE a Row:

The following example delete SMITH:

DELETE FROM EMP WHERE ENAME = 'SMITH';

DELETE All Rows:

It is possible to delete all rows in a table without deleting the table. The following statement will delete all data in the table but table structure, attributes, and indexes will not be deleted.

DELETE FROM table_name; **OR** DELETE * FROM table_name;

Example:

DELETE FROM EMP; OR DELETE * FROM EMP;