



# Lecture 7

## Concurrency and Synchronization

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# Concurrency and Synchronization

- Concurrency is the tendency for things to happen at the same time in any system.
- Concurrency is a natural phenomena, of course.
- In the real world, at any given time, many things are happening simultaneously.

# Concurrency (I)

- When dealing with currency issues in software systems, there are generally two aspects that are important:
  1. Being able to detect and respond to external events occurring in a random order, and
  2. Ensuring that these events are responded to in some minimum required interval.

# Challenges in Concurrency

- The challenges of designing concurrent systems arise mostly because of the interaction which happen between concurrent activities.
- In concurrent activities, some sort of coordination is required.
- This coordination also known as synchronization.

## Basic synchronization primitives

- Mutual exclusion: Locks, Mutex, Semaphores, ..
- Conditions: Flags, Condition Variable, Signal,

# Mutual Exclusion

- Mutual exclusion is a property of concurrency control, which is introduced for the purpose of preventing race conditions.
- It is the requirement that one thread of execution never enters a critical section while a concurrent thread of execution is already accessing critical section.

# Mutual Exclusion(I)

- Race condition is an undesirable event that can happen when multiple entities access or modify share resources in a system.
- The system behaves correctly when these entities use the shared resources as expected.
- When race condition happens, the system may enter a stated not designed for and hence fail.

# Mutual Exclusion (2)

- A lock or **M**utual **E**xclusion(**Mutex**) is a synchronization primitive: is a mechanism that enforces limits on accessing a resource when there are many threads of execution.
- The first person to propose such primitive was Edsger Dijkstra, who suggested a new data type called a semaphore.

# Semaphore

- A semaphore is an integer variable that supports a set operation.
- A semaphore is an integer variable used to control access to a common resource by multiple threads and avoid critical section problems.
- A trivial semaphore is a variable that is changed (e.g., incremented or decremented, or toggled) depending on conditions.



# Semaphore (I)

- More specifically, if a  $S$  is a variable of type semaphore, then two atomic operations are supported as  $S: P(S)$  and  $V(S)$
- The letters  $P$  and  $V$  come from the Dutch words *passeren*, to pass (allow a resource to thread), and *Vrygeven*, to release (released a resource by).

# Semaphore (2)

- The operation  $P(S)$  achieves the following in an atomic manner:

If  $(S > 0)$

Decrement  $S$ ;

Else

Wait for  $S$  to become positive

# Semaphore (3)

- The operation  $V(S)$  is defined as follows:

If (threads waiting for  $S$ )

    Assign one of them;

Else

    Increment  $S$ ;

# Semaphore (4)

- Using semaphores, we can now easily program mutual exclusion to critical sections as follows:

Thread 1

----

```
P(S);  
// Enter Critical Section
```

.....

```
// Leave Critical Section  
V(S);
```

- ...

Thread 2

----

```
P(S);  
// Enter Critical Section
```

.....

```
// Leave Critical Section  
V(S);
```



# Data and Work Partitioning

# Decomposition (Partitioning)

- One of the fundamental steps that we need to undertake to solve a problem is to split the computations to be performed into a set of tasks for concurrent execution defined by the task-dependency graph.
- Decomposition techniques are broadly classified as:
  1. Recursive Decomposition
  2. Data Decomposition
  3. Exploratory Decomposition
  4. Speculative Decomposition

# Recursive Decomposition

- The recursive and data decomposition techniques are relatively general purpose as they can be used to decompose a wide variety of problems.
- On the other hand, speculative and exploratory decomposition techniques are more of a special purpose nature because they apply to specific classes of problems.

# Recursive Decomposition

- Recursive decomposition is a method for inducing concurrency in problems that can be solved using the divide-and-conquer strategy.
- In this technique, a problem is solved by first dividing it into a set of independent sub-problems.
- Each one of these sub-problems is solved by recursively applying a similar division into sub-problems followed by a combination of their results.
- The divide-and-conquer strategy results in natural concurrency, as different sub-problems can be solved concurrently.



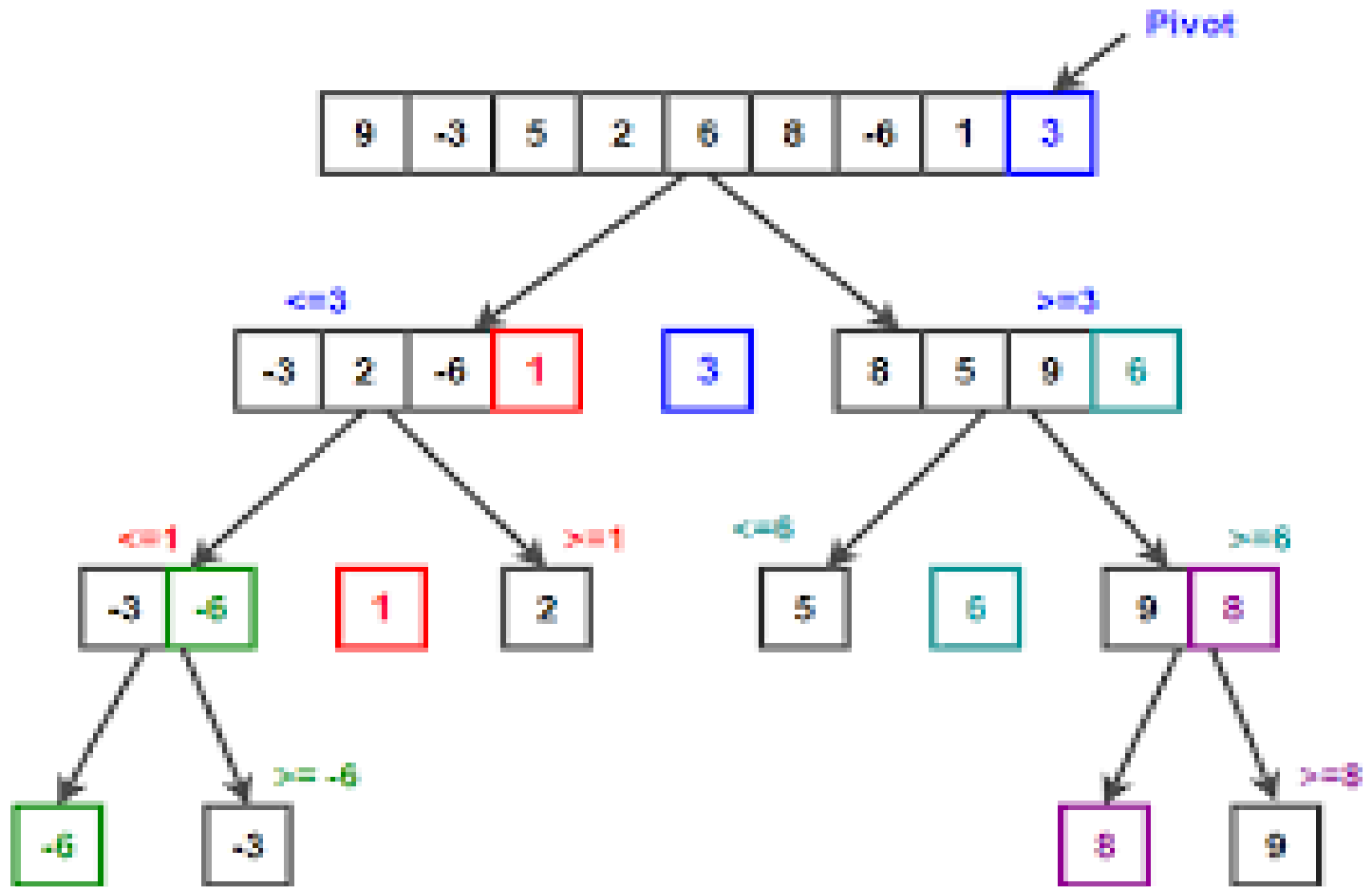
# Quick Sort

- Consider the sorting a sequence  $A$  of  $n$  elements using quick sort algorithm.
- Quicksort is a **divide and conquer** algorithm that starts by selecting a pivot element  $x$  and then partitions the sequence  $A$  into two subsequences  $A_0$  and  $A_1$  such that all the elements in  $A_0$  are smaller than  $x$  and all the elements in  $A_1$  are greater than or equal to  $x$ .

# Quick Sort(I)

- This partitioning step forms the divide step of the algorithm.
- Each one of the subsequences  $A_0$  and  $A_1$  is sorted by recursively calling quicksort.
- Each one of these recursive calls further partitions the sequences.
- This is illustrated in following Figure for a sequence of 12 numbers.

# QuickSort(2)



# QuickSort(3)

- The recursion terminates when each subsequence contains only a single element.
- Then the results will be combined to form a sorted list.

# Data Decomposition

- Data decomposition is a powerful and commonly used method for deriving concurrency in algorithms that operate on large data structures.
- In this method, the decomposition of computations is done in two steps:
  1. In the first step, the data on which the computations are performed is partitioned, and
  2. In the second step, this data partitioning is used to induce a partitioning of the computations into tasks.

# Data Decomposition (I)

- The operations that these tasks perform on different data partitions are usually similar.
- The partitioning of data can be performed in many possible ways.
- But we are going to discuss matrix-multiplication.

# Matrix Multiplication

- To multiply a matrix by another matrix we need to do “dot product” of rows and columns..What does that mean?
- Let see with example: 1<sup>st</sup> Row x 1<sup>st</sup> Column:
- $(1,2,3)*(7,9,11) = 1 \times 7 + 2 \times 9 + 3 \times 11 = 58$
- $(1,2,3)*(8,10,12) = 1 \times 8 + 2 \times 10 + 3 \times 12 = 64$

# Exploratory Decomposition

- Exploratory decomposition is used to decompose problems whose underlying computations correspond to a searching of a solution from search space.
- In exploratory decomposition, we partition the search space into smaller parts, and search each one of these parts concurrently, until the desired solution are found.



# Speculative Decomposition

- Speculative Decomposition is used when a program may take one of many possible computationally significant branches depending on the output of other computations.
- In this situation, while one task is performing the computation whose output is used in deciding the next computation, other task can concurrently start the computations.

# Speculative Decomposition(I)

- This scenario is similar to evaluating one or more of the branches of a switch statement in C in parallel before the input for the switch is available.